

SECTION 2- REASON FOR FILING (continued)

- Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission):
 - Planning board or commission _____
 - Airport board or commission _____
 - Water or Sewer board or commission _____
 - Utility board or commission _____
 - Civil Service commission _____

SECTION 3- SOURCE OF INCOME

List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or you spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct.

a) Check appropriate box: More than \$1,000 More than \$12,500
ARKANSAS STATE Police Retirement System
(name of employer or source of income)
124 W. CAPITAL AVE, Little Rock, AR 72201
(address)
DAVID E. ROSEGRANT
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Retirement Benefit

b) Check appropriate box: More than \$1,000 More than \$12,500
ARKANSAS Public Employees Retirement System
(name of employer or source of income)
124 W. CAPITAL AVE, Little Rock, ARK 72201
(address)
DAVID E. ROSEGRANT
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Retirement Benefit

c) Check appropriate box: More than \$1,000 More than \$12,500
Social Security Admin
(name of employer or source of income)
(address)
DAVID E. ROSEGRANT
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received SOCIAL SECURITY BENEFITS

SECTION 2- REASON FOR FILING (continued)

- Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission):
 - Planning board or commission _____
 - Airport board or commission _____
 - Water or Sewer board or commission _____
 - Utility board or commission _____
 - Civil Service commission _____

SECTION 3- SOURCE OF INCOME

List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or you spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct.

- a) Check appropriate box: More than \$1,000 More than \$12,500

Arkansas Teachers Retirement System

(name of employer or source of income)

1400 WEST THIRD, Little Rock, AR 72201

(address)

Judy R. Rosegrant

(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Retirement Benefit

- b) Check appropriate box: More than \$1,000 More than \$12,500

Social Security Admin

(name of employer or source of income)

(address)

Judy R. Rosegrant

(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received

Social Security Benefits

- c) Check appropriate box: More than \$1,000 More than \$12,500

(name of employer or source of income)

(address)

(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received

The first part of the document discusses the importance of maintaining accurate records of all transactions. It emphasizes that every entry should be supported by a valid receipt or invoice. This ensures transparency and allows for easy verification of the data.

In the second section, the author outlines the various methods used to collect and analyze the data. This includes both manual and automated processes. The goal is to ensure that the data is as accurate and reliable as possible.

The third part of the document provides a detailed breakdown of the results. It shows that there has been a significant increase in sales over the period covered. This is attributed to several factors, including improved marketing strategies and better customer service.

Finally, the document concludes with a series of recommendations for future actions. These include continuing to invest in marketing, improving operational efficiency, and maintaining a strong focus on customer satisfaction.

SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

- a) Check appropriate box: More than \$1,000 More than \$12,500
PAO SHARE - ULTRA ANNUITY
Edward Jones, Pine Bluff AR 71607
DAVID E. ROSEGRANT
(name of corporation, firm or enterprise)
(address)
(name under which investment held)

- b) Check appropriate box: More than \$1,000 More than \$12,500
ATHENE - ANNUITY
7700 MILLS CIRC PARKWAY, West Mermoines, IA 50266
DAVID E. ROSEGRANT
(name of corporation, firm or enterprise)
(address)
(name under which investment held)

- c) Check appropriate box: More than \$1,000 More than \$12,500
GBU FINANCIAL LIFE
P.O. Box 645949, Pittsburg, Penn 15264
DAVID E. ROSEGRANT
(name of corporation, firm or enterprise)
(address)
(name under which investment held)

- d) Check appropriate box: More than \$1,000 More than \$12,500
SIMMONS FIRST NATIONAL BANK
Benton ARK 72015
DAVID E. ROSEGRANT
(name of corporation, firm or enterprise)
(address)
(name under which investment held)

- e) Check appropriate box: More than \$1,000 More than \$12,500
AIG - VALIC
Houston, TEXAS
DAVID E. ROSEGRANT
(name of corporation, firm or enterprise)
(address)
(name under which investment held)

- f) Check appropriate box: More than \$1,000 More than \$12,500
HARTFORD LEADER CORE - ANNUITY
Edward Jones - Pine Bluff AR 71603
DAVID E. ROSEGRANT
(name of corporation, firm or enterprise)
(address)
(name under which investment held)



SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500
PRO SHARE - ULTRA ANNUITY
(name of corporation, firm or enterprise)
Edward Jones, Pine Bluff AR 71607
(address)
David E. Rosegrant
(name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500
ATHENE - ANNUITY
(name of corporation, firm or enterprise)
7700 MILLS CIRC PARKWAY, West Des Moines, IA 50266
(address)
David E. Rosegrant
(name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500
GBU FINANCIAL LIFE
(name of corporation, firm or enterprise)
P.O. Box 645949, Pittsburg, Penn 15264
(address)
David E. Rosegrant
(name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500
SIMMONS FIRST NATIONAL BANK
(name of corporation, firm or enterprise)
Benton, ARK 72015
(address)
David E. Rosegrant
(name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500
AIG - VALIC
(name of corporation, firm or enterprise)
Houston, TEXAS
(address)
David E. Rosegrant
(name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500
HARTFORD LEADER CORE - ANNUITY
(name of corporation, firm or enterprise)
Edward Jones - Pine Bluff AR 71603
(address)
David E. Rosegrant
(name under which investment held)

SECTION 5- OFFICE OR DIRECTORSHIP

List every office or directorship held by you or your spouse in any business, corporation, firm, or enterprise subject to jurisdiction of a regulatory agency of this State, or of any of its political subdivisions.

a) NOT APPLICABLE

(name of business, corporation, firm, or enterprise)

(address)

(office or directorship held)

(name of office holder)

b) _____
(name of business, corporation, firm, or enterprise)

(address)

(office or directorship held)

(name of office holder)

SECTION 6- CREDITORS

List each creditor to whom the value of five thousand dollars (\$5,000) or more was personally owed or personally obligated and is still outstanding. (This does not include debts owed to members of your family or loans made in the ordinary course of business by either a financial institution or a person who regularly and customarily extends credit.)

a) NOT APPLICABLE

(name of creditor)

(address of creditor)

b) _____
(name of creditor)

(address of creditor)

c) _____
(name of creditor)

(address of creditor)

SECTION 7- PAST-DUE AMOUNTS OWED TO GOVERNMENT

List the name and address of each governmental body to which you are legally obligated to pay a past-due amount and a description of the nature of the amount of the obligation.

a) NOT APPLICABLE

(name of governmental body) (address of governmental body)

(amount owed) (nature of the obligation)

b) _____
(name of governmental body) (address of governmental body)

(amount owed) (nature of the obligation)

SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500
FARMERS BANK & TRUST
(name of corporation, firm or enterprise)
3345 ARK 5 STE 100, BRYANT, AR 72019
(address)
JUDY R. ROSEGRANT
(name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500
WOODMAN OF THE WORLD
(name of corporation, firm or enterprise)
1250 CASH RD, CAMDEN, AR 71701
(address)
JUDY R. ROSEGRANT
(name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500
PRUDENTIAL INS. OF AMERICA
(name of corporation, firm or enterprise)
30 SCRANTON OFFICE PARK, SCRANTON, PA., 18507
(address)

(name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500
LPL FINANCIAL
(name of corporation, firm or enterprise)
1055 LPL WAY, FT. MILL, S.C. 29715
(address)
JUDY R. ROSEGRANT
(name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

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SECTION 5- OFFICE OR DIRECTORSHIP

List every office or directorship held by you or your spouse in any business, corporation, firm, or enterprise subject to jurisdiction of a regulatory agency of this State, or of any of its political subdivisions.

a) NOT APPLICABLE

(name of business, corporation, firm, or enterprise)

(address)

(office or directorship held)

(name of office holder)

b) _____
(name of business, corporation, firm, or enterprise)

(address)

(office or directorship held)

(name of office holder)

SECTION 6- CREDITORS

List each creditor to whom the value of five thousand dollars (\$5,000) or more was personally owed or personally obligated and is still outstanding. (This does not include debts owed to members of your family or loans made in the ordinary course of business by either a financial institution or a person who regularly and customarily extends credit.)

a) NOT APPLICABLE

(name of creditor)

(address of creditor)
b) _____
(name of creditor)

(address of creditor)
c) _____
(name of creditor)

(address of creditor)

SECTION 7- PAST-DUE AMOUNTS OWED TO GOVERNMENT

List the name and address of each governmental body to which you are legally obligated to pay a past-due amount and a description of the nature of the amount of the obligation.

a) NOT APPLICABLE

(name of governmental body) _____ (address of governmental body)

(amount owed) _____ (nature of the obligation)
b) _____
(name of governmental body) _____ (address of governmental body)

(amount owed) _____ (nature of the obligation)



SECTION 10- AWARDS

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive life-long learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

a) NOT APPLICABLE

(description of award)

(date) (fair market value)

(source of award)

b) _____
(description of award)

(date) (fair market value)

(source of award)

c) _____
(description of award)

(date) (fair market value)

(source of award)

d) _____
(description of award)

(date) (fair market value)

(source of award)

SECTION 11- NONGOVERNMENTAL SOURCES OF PAYMENT

List each nongovernmental source of payment of your expenses for food, lodging, or travel which bears a relationship to your office when you appear in your official capacity when the expenses incurred exceed \$150.

a) NOT APPLICABLE

(name of person or organization paying expense)

(business address) _____ \$ _____
(date of expense) (amount of expense)

(nature of expenditure)

b) _____
(name of person or organization paying expense)

(business address) _____ \$ _____
(date of expense) (amount of expense)

(nature of expenditure)

SECTION 8- GUARANTOR OR CO-MAKER

List each guarantor or co-maker who has guaranteed a debt of yours that is still outstanding. (This includes debt guarantors arising or extended and refinanced after Jan. 1, 1989. Members of your family who are your guarantors are not required to be disclosed.)

- a) NOT APPLICABLE

(name)

(address)
- b) _____
(name)

(address)

SECTION 9- GIFTS

List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 received by you or your spouse and of each gift of more than \$250 received by your dependent children. The term "gift" is defined as "any payment, entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given therefor." There are a number of exceptions to the definition of "gift." Those exceptions are set forth in the Instructions for Statement of Financial Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public servant reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within ten (10) days from the date the item was received.)

- a) NOT APPLICABLE

(description of gift)

(date) _____ (fair market value)

(source of gift)
- b) _____
(description of gift)

(date) _____ (fair market value)

(source of gift)
- c) _____
(description of gift)

(date) _____ (fair market value)

(source of gift)
- d) _____
(description of gift)

(date) _____ (fair market value)

(source of gift)
- e) _____
(description of gift)

(date) _____ (fair market value)

(source of gift)

SECTION 12- DIRECT REGULATION OF BUSINESS

List any business which employs you and is under direct regulation or subject to direct control by the governmental body which you serve.

- a) NOT APPLICABLE
(name of business)

(governmental body which regulates or controls)
- b) _____
(name of business)

(governmental body which regulates or controls)
- c) _____
(name of business)

(governmental body which regulates or controls)
- d) _____
(name of business)

(governmental body which regulates or controls)

SECTION 13- SALES TO GOVERNMENTAL BODY

List the goods or services sold to the governmental body for which you serve which have a total annual value in excess of \$1,000. List the compensation paid for each category of goods or services sold by you or any business in which you or your spouse is an officer, director, or stockholder owning more than 10% of the stock of the company.

- a) NOT APPLICABLE
(goods or services)

(governmental body to whom sold)

(compensation paid)
- b) _____
(goods or services)

(governmental body to whom sold)

(compensation paid)
- c) _____
(goods or services)

(governmental body to whom sold)

(compensation paid)
- d) _____
(goods or services)

(governmental body to whom sold)

(compensation paid)

SECTION 14- SIGNATURE

I certify under penalty of false swearing that the above information is true and correct.

David E. Rosegrant
Signature

STATE OF ARKANSAS

COUNTY OF Saline } ss

Subscribed and sworn before me this 30 day of January, 2020

NICHOLE BENTLEY
NOTARY PUBLIC-STATE OF ARKANSAS
SALINE COUNTY
My Commission Expires 03-24-2031
Commission # 12714552

Nichole Bentley
Notary Public

My commission expires: 3-24-31

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

IMPORTANT

Where to file:

- State or district candidates/public servants file with the Secretary of State.
- Appointees to state boards/commissions file with the Secretary of State.
- County, township, and school district candidates/public servants file with the county clerk.
- Municipal candidates/public servants file with the city clerk or recorder, as the case may be.
- City attorneys file with the city clerk of the municipality in which they serve.
- District judges file with the Secretary of State.
- Members of regional boards or commissions file with the county clerk of the county in which they reside.

General Information:

- * The Statement of Financial Interest should be filed by January 31 of each year.
- * The filing covers the previous calendar year.
- * Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31. In addition, if the party filing period ends before January 1 of the year of the general election, candidates for elective office shall file a Statement of Financial Interest for the previous calendar year by no later than January 31 of the year of the general election.
- * Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- * Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- * If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.

Ark. Code Ann. § 21-8-403 provides that, upon conviction, any person who violates any provision of subchapter 4, 6, 7, or 8 of chapter 8, Title 21 of the Arkansas Code is guilty of a Class A misdemeanor. The culpable mental state required shall be a purposeful violation.