

STATEMENT OF FINANCIAL INTEREST

State/District officials file with:
John Thurston, Secretary of State
500 Woodlane Street
Little Rock, AR 72201
Phone (501) 682-5070
Fax (501) 682-3548

Calendar year covered 23-24
(Note: Filing covers the previous calendar year)

For assistance in completing
this form contact:
Arkansas Ethics Commission
Phone (501) 324-9600
Toll Free (800) 422-7773

Is this an amendment? Yes No

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document. Do not file this form with the Arkansas Ethics Commission.

SECTION 1- NAME AND ADDRESS

Name Rice Edward
(Last) (First) (Middle)
Address 1075 Aztec Street Camden AR 71701
(Street or P.O. Box Number) (City) (State) (Zip Code)
Phone 662-820-1224
Spouse's name Rice Edward
(Last) (First) (Middle)
All names under which you and/or your spouse do business: Edward Rice # Tonya L. Rice

SECTION 2- REASON FOR FILING

- Public Official _____ (office held)
- Candidate _____ (office sought)
- District Judge _____ (name of district)
- City Attorney _____ (name of city)
- State Government: Agency Head/Department Director/Division Director SAU Tech/Vice Chancellor for Student Services
(name of agency/department/division)
- Chief of Staff or Chief Deputy _____ (name of Constitutional Officer, Senate, or House of Representatives)
- Public appointee to State Board or Commission _____ (name of board/commission)
- School Board member _____ (name of school district)
- Candidate for school board _____ (name of school district)
- Public or Charter School Superintendent _____ (name of school district/school)
- Executive Director of Education Service Cooperative _____ (name of cooperative)
- Advertising and Promotion Commission member _____ (name of advertising and promotion commission)
- Research Park Authority Board member under A.C.A. § 14-144-201 et seq. _____ (name of research park authority board)

FILED

NOV 20 2023

Arkansas
Secretary of State

SECTION 2- REASON FOR FILING (continued)

- Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission):
- Planning board or commission _____
 - Airport board or commission _____
 - Water or Sewer board or commission _____
 - Utility board or commission _____
 - Civil Service commission _____

SECTION 3- SOURCE OF INCOME

List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or you spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct.

- a) Check appropriate box: More than \$1,000 More than \$12,500

SAU Tech

(name of employer or source of income)
P.O. Box 3499 Camden, Ar. 71701

(address)
Edward Rice II

(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Regular Salary

- b) Check appropriate box: More than \$1,000 More than \$12,500

SAU Tech

(name of employer or source of income)
P.O. Box 3499 Camden, Ar. 71701

(address)
Edward Rice II

(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Regular Salary

- c) Check appropriate box: More than \$1,000 More than \$12,500

(name of employer or source of income)

(address)

(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received _____

SECTION 5- OFFICE OR DIRECTORSHIP

List every office or directorship held by you or your spouse in any business, corporation, firm, or enterprise subject to jurisdiction of a regulatory agency of this State, or of any of its political subdivisions.

a) _____
(name of business, corporation, firm, or enterprise)

(address)

(office or directorship held)

(name of office holder)

b) _____
(name of business, corporation, firm, or enterprise)

(address)

(office or directorship held)

(name of office holder)

SECTION 6- CREDITORS

List each creditor to whom the value of five thousand dollars (\$5,000) or more was personally owed or personally obligated and is still outstanding. (This does not include debts owed to members of your family or loans made in the ordinary course of business by either a financial institution or a person who regularly and customarily extends credit.)

a) _____
(name of creditor)

(address of creditor)

b) _____
(name of creditor)

(address of creditor)

c) _____
(name of creditor)

(address of creditor)

SECTION 7- PAST-DUE AMOUNTS OWED TO GOVERNMENT

List the name and address of each governmental body to which you are legally obligated to pay a past-due amount and a description of the nature of the amount of the obligation.

a) _____
(name of governmental body) (address of governmental body)

(amount owed) (nature of the obligation)

b) _____
(name of governmental body) (address of governmental body)

(amount owed) (nature of the obligation)

SECTION 10- AWARDS

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive life-long learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

a) _____
(description of award)

_____ (date) _____ (fair market value)

_____ (source of award)

b) _____
(description of award)

_____ (date) _____ (fair market value)

_____ (source of award)

c) _____
(description of award)

_____ (date) _____ (fair market value)

_____ (source of award)

d) _____
(description of award)

_____ (date) _____ (fair market value)

_____ (source of award)

SECTION 11- NONGOVERNMENTAL SOURCES OF PAYMENT

List each nongovernmental source of payment of your expenses for food, lodging, or travel which bears a relationship to your office when you appear in your official capacity when the expenses incurred exceed \$150.

a) _____
(name of person or organization paying expense)

_____ (business address)

_____ (date of expense) \$ _____ (amount of expense)

_____ (nature of expenditure)

b) _____
(name of person or organization paying expense)

_____ (business address)

_____ (date of expense) \$ _____ (amount of expense)

_____ (nature of expenditure)

SECTION 14- SIGNATURE

I certify under penalty of false swearing that the above information is true and correct.

[Handwritten Signature]

Signature

STATE OF ARKANSAS

COUNTY OF Ouachita } ss

Subscribed and sworn before me this 16th day of November, 2023.



Valerie Ruth Wilson

Notary Public

My commission expires: 04-02-2027

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

IMPORTANT

Where to file:

- State or district candidates/public servants file with the Secretary of State.
- Appointees to state boards/commissions file with the Secretary of State.
- County, township, and school district candidates/public servants file with the county clerk.
- Municipal candidates/public servants file with the city clerk or recorder, as the case may be.
- City attorneys file with the city clerk of the municipality in which they serve.
- District judges file with the Secretary of State.
- Members of regional boards or commissions file with the county clerk of the county in which they reside.

General Information:

- * The Statement of Financial Interest should be filed by January 31 of each year.
- * The filing covers the previous calendar year.
- * Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31. In addition, if the party filing period ends before January 1 of the year of the general election, candidates for elective office shall file a Statement of Financial Interest for the previous calendar year by no later than January 31 of the year of the general election.
- * Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- * Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- * If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.

Ark. Code Ann. § 21-8-403 provides that, upon conviction, any person who violates any provision of subchapter 4, 6, 7, or 8 of chapter 8, Title 21 of the Arkansas Code is guilty of a Class A misdemeanor. The culpable mental state required shall be a purposeful violation.

SOUTHERN ARKANSAS UNIVERSITY
Magnolia, Arkansas

CONFLICT-OF-INTEREST - POLICY

1. Scope

The following statement of policy applies to each member of the Board of Trustees and to all officers of Southern Arkansas University. Further, it is intended to serve for the guidance of all persons employed by the institution, regardless of position.

2. Fiduciary Responsibility

Members of the Board, officers, and staff serve a public interest role and thus have a clear obligation to conduct all affairs of the institution in a manner consistent with this concept. All decisions of the Board and officers of administration of SAU are to be made solely on the basis of a desire to promote the best interests of the institution and the public good.

3. Disclosure

The policy of the Board of Southern Arkansas University requires that in the event the Board or officers must consider any transaction for the institution which also involves (1) a member of the Board or any officer of the institution or a member of his or her family (which shall be a spouse, parents, siblings, children, and any other relative), or (2) an organization with which a member of the Board or any officer of the institution is affiliated, such trustee or officer, at the first knowledge of the transaction, shall disclose fully the precise nature of the interest or involvement.

Disclosure is further required of Board members and officers of the institution concerning all relationships and business affiliations that reasonably could give rise to a conflict of interest involving the institution. This disclosure shall be continuously reported and kept current, as set forth below. For the purpose of this policy, affiliation is understood to prevail if the trustee or officers, or a member of the family:

- a. Is an officer, director, trustee, partner, employee, or agent of such organization; or
- b. Is either the actual or beneficial owner of more than one percent of the voting stock or controlling interest of such an organization; or
- c. Has any other direct or indirect dealings with such organization from which he or she knowingly is materially benefited through receipt directly or indirectly of cash, stock, or other property in excess of \$1,500 a year – including dividends or interest.

All disclosures required under this policy must be submitted in writing to the Secretary of the Board by completing the disclosure letter annually during the month of January. The Secretary, together with the University counsel, shall be responsible for the administration of this policy. Matters under this policy concerning trustees shall be reported initially to the Chairman of the Board for appropriate action; those concerning staff will be referred initially to the President. Information disclosed to the secretary, chairman, or president shall be held in confidence except when the best interest of the institution would be served by disclosing the information to the Board in executive session.

4. Restraint on Participation

Trustees or officers who have declared or been found to have conflict of interest in any matter before the administration or the Board shall refrain from participating in consideration of the proposed transaction, unless for special reasons the Board or administration requests information or interpretation from the person or persons involved. The person or persons involved should not vote on such matters and should not be present at the time of vote.

Any Board member who is uncertain about possible conflict of interest in any matter may request the Board to determine whether a possible conflict prevails; the Board shall resolve the question by majority vote. When possible, the question of potential conflict should be referred to counsel for an opinion prior to the Board's vote.

Adopted by the Board of Trustees
Southern Arkansas University

September 15, 1983

DISCLOSURE LETTER

Board of Trustees Secretary
Southern Arkansas University
100 E. University, MSC 9392
Magnolia, Arkansas 71753

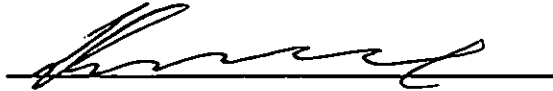
Dear Sir/Madam:

I have received and read the statement of "Conflict-of-Interest Policy" approved by the Board of Trustees on September 15, 1983, and I am in compliance with the policy except as specifically set forth below.

For the information of Southern Arkansas University, I am listing in the space below all of my "associates" as defined, and the position and/or interest which I or a member of my family has in each "associate."

Sincerely,

Date: * 01/30/2024 _____



EXCEPTIONS:

(Note: If there are none, please write "None"; otherwise, fill in the table below).

ASSOCIATES*

<u>Trustee or Family** Member</u>	<u>Associate</u>	<u>Position and/or Interest</u>
None		

*An associate of an individual includes any person, trust, organization, or enterprise (of a business nature or otherwise) with respect to which the individual or any member of his or her family (1) is a director, officer, employee, member, partner, or trustee, or (2) has financial interest as described in the Board's policy on conflict of interest adopted on September 15, 1983, or any other interest which enables him or her to exercise control or significantly influence policy.

**The family of an individual includes his or her spouse, parents, siblings, children and any other blood relatives.

(See backside.)