

STATEMENT OF FINANCIAL INTEREST

State/District officials file with:
John Thurston, Secretary of State
500 Woodlane Street
Little Rock, AR 72201
Phone (501) 682-5070
Fax (501) 682-3548

Calendar year covered 2023
(Note: Filing covers the previous calendar year)

For assistance in completing
this form contact:
Arkansas Ethics Commission
Phone (501) 324-9600
Toll Free (800) 422-7773

Is this an amendment? Yes No

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document. Do not file this form with the Arkansas Ethics Commission.

SECTION 1- NAME AND ADDRESS

Name DORAMUS ANNE M
(Last) (First) (Middle)
Address 2701 W ROOSEVELT RD. LITTLE ROCK AR 72204
(Street or P.O. Box Number) (City) (State) (Zip Code)
Phone 501-375-0244
Spouse's name DORAMUS JOSEPH W
(Last) (First) (Middle)
All names under which you and/or your spouse do business: ANNE MARIE DORAMUS ; JOE DORAMUS

SECTION 2- REASON FOR FILING

- Public Official _____ (office held) **FILED**
- Candidate _____ (office sought) **JAN 31 2024**
- District Judge _____ (name of district) **Arkansas**
- City Attorney _____ (name of city) **Secretary of State**
- State Government: Agency Head/Department Director/Division Director _____ (name of agency/department/division)
- Chief of Staff or Chief Deputy _____ (name of Constitutional Officer, Senate, or House of Representatives)
- Public appointee to State Board or Commission ARKANSAS GAME AND FISH COMMISSION
(name of board/commission)
- School Board member _____ (name of school district)
- Candidate for school board _____ (name of school district)
- Public or Charter School Superintendent _____ (name of school district/school)
- Executive Director of Education Service Cooperative _____ (name of cooperative)
- Advertising and Promotion Commission member _____ (name of advertising and promotion commission)
- Research Park Authority Board member under A.C.A. § 14-144-201 et seq. _____ (name of research park authority board)

SECTION 2- REASON FOR FILING (continued)

- Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission):
 - Planning board or commission _____
 - Airport board or commission _____
 - Water or Sewer board or commission N/A
 - Utility board or commission _____
 - Civil Service commission _____

SECTION 3- SOURCE OF INCOME

List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or your spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct.

- a) Check appropriate box: More than \$1,000 More than \$12,500

Arkansas Bolt Company
(name of employer or source of income)
2701 W Roosevelt Road, Little Rock, AR 72204
(address)
Anne Marie Doramus
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Vice President of Special Projects for a hardware distributor

- b) Check appropriate box: More than \$1,000 More than \$12,500

Stephens Inc.
(name of employer or source of income)
111 Center Street, Little Rock, AR, 72201
(address)
Joseph Walker Doramus
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Investment banking services

- c) Check appropriate box: More than \$1,000 More than \$12,500

(name of employer or source of income)

(address)

(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received _____

SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500
Eagle Bank and Trust Co.
(name of corporation, firm or enterprise)
650 S Shackleford Road, Suite 150, Little Rock, AR 72211
(address)
Anne Marie Doramus
(name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500
Voya Financial
(name of corporation, firm or enterprise)
PO Box 99067, Hartford, CT 0619-0067
(address)
Anne Marie Doramus
(name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500
Eagle Bank and Trust Co.
(name of corporation, firm or enterprise)
650 S Shackleford Road, Suite 150, Little Rock, AR 72211
(address)
Anne Marie and Joseph Walker Doramus
(name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500
State Holding Company
(name of corporation, firm or enterprise)
650 S Shackleford Road, Suite 450, Little Rock, AR 72211
(address)
Anne Marie Hastings Gift Trust
(name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500
Bank of America
(name of corporation, firm or enterprise)
6001 Kavanaugh Blvd., Little Rock, AR 72207
(address)
Anne Marie and Joseph Walker Doramus
(name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500
Stephens Insured Bank Sweep Program (Held in Stephens Brokerage Account)
(name of corporation, firm or enterprise)
111 Center St., Little Rock, AR 72201
(address)
Joseph Walker Doramus
(name under which investment held)

g) Check appropriate box: More than \$1,000 More than \$12,500

Ark. Code Ann. § 21-8-403 provides that, upon conviction, any person who violates any provision of subchapter 4, 6, 7, or 8 of chapter 8, Title 21 of the Arkansas Code is guilty of a Class A misdemeanor. The culpable mental state required shall be a purposeful violation.
Revised 12/2017

Transamerica: Stephens Employees 401(K) Profit Sharing Plan and Trust

(name of corporation, firm or enterprise)

4333 Edgewood Rd. NE, Cedar Rapids, IA 52499

(address)

Joseph Walker Doramus

(name under which investment held)

h) Check appropriate box:

More than \$1,000

More than \$12,500

Bank of America

(name of corporation, firm or enterprise)

6001 Kavanaugh Blvd., Little Rock, AR 72207

(address)

Joseph Walker Doramus

(name under which investment held)

i) Check appropriate box:

More than \$1,000

More than \$12,500

SPDR S&P 500 ETF Trust (Held in Stephens Brokerage Account)

(name of corporation, firm or enterprise)

111 Center St., Little Rock, AR 72201

(address)

Joseph Walker Doramus

(name under which investment held)

j) Check appropriate box:

More than \$1,000

More than \$12,500

**see attached for a schedule of all securities held by Joseph Walker Doramus at Stephens in brokerage/managed accounts

(name of corporation, firm or enterprise)

111 Center St., Little Rock, AR 72201

(address)

Joseph Walker Doramus

(name under which investment held)

k) Check appropriate box:

More than \$1,000

More than \$12,500

Simmons Bank

(name of corporation, firm or enterprise)

P.O. Box 7009 Pine Bluff, AR 71611

(address)

Green Tree Land, LLC (Joseph Walker Doramus)

(name under which investment held)

l) Check appropriate box:

More than \$1,000

More than \$12,500

Green Tree Land, LLC

(name of corporation, firm or enterprise)

Northwest Registered Agent, LLC, 701 South Street, Suite 200, Mountain Home, AR 72656

(address)

Joseph Walker Doramus

(name under which investment held)

m) Check appropriate box:

More than \$1,000

More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

n) Check appropriate box:

More than \$1,000

More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

SECTION 5- OFFICE OR DIRECTORSHIP

List every office or directorship held by you or your spouse in any business, corporation, firm, or enterprise subject to jurisdiction of a regulatory agency of this State, or of any of its political subdivisions.

a) N/A

(name of business, corporation, firm, or enterprise)

(address)

(office or directorship held)

(name of office holder)

b) _____
(name of business, corporation, firm, or enterprise)

(address)

(office or directorship held)

(name of office holder)

SECTION 6- CREDITORS

List each creditor to whom the value of five thousand dollars (\$5,000) or more was personally owed or personally obligated and is still outstanding. (This does not include debts owed to members of your family or loans made in the ordinary course of business by either a financial institution or a person who regularly and customarily extends credit.)

a) N/A

(name of creditor)

(address of creditor)

b) _____
(name of creditor)

(address of creditor)

c) _____
(name of creditor)

(address of creditor)

SECTION 7- PAST-DUE AMOUNTS OWED TO GOVERNMENT

List the name and address of each governmental body to which you are legally obligated to pay a past-due amount and a description of the nature of the amount of the obligation.

a) N/A

(name of governmental body) (address of governmental body)

(amount owed) (nature of the obligation)

b) _____
(name of governmental body) (address of governmental body)

(amount owed) (nature of the obligation)

SECTION 10- AWARDS

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive life-long learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

a) N/A _____ (description of award)
_____ (date) _____ (fair market value)
_____ (source of award)

b) _____ (description of award)
_____ (date) _____ (fair market value)
_____ (source of award)

c) _____ (description of award)
_____ (date) _____ (fair market value)
_____ (source of award)

d) _____ (description of award)
_____ (date) _____ (fair market value)
_____ (source of award)

SECTION 11- NONGOVERNMENTAL SOURCES OF PAYMENT

List each nongovernmental source of payment of your expenses for food, lodging, or travel which bears a relationship to your office when you appear in your official capacity when the expenses incurred exceed \$150.

a) N/A _____ (name of person or organization paying expense)
_____ (business address) \$ _____
_____ (date of expense) _____ (amount of expense)
_____ (nature of expenditure)

b) _____ (name of person or organization paying expense)
_____ (business address) \$ _____
_____ (date of expense) _____ (amount of expense)
_____ (nature of expenditure)

SECTION 12- DIRECT REGULATION OF BUSINESS

List any business which employs you and is under direct regulation or subject to direct control by the governmental body which you serve.

- a) N/A

(name of business)

(governmental body which regulates or controls)
- b) _____

(name of business)

(governmental body which regulates or controls)
- c) _____

(name of business)

(governmental body which regulates or controls)
- d) _____

(name of business)

(governmental body which regulates or controls)

SECTION 13- SALES TO GOVERNMENTAL BODY

List the goods or services sold to the governmental body for which you serve which have a total annual value in excess of \$1,000. List the compensation paid for each category of goods or services sold by you or any business in which you or your spouse is an officer, director, or stockholder owning more than 10% of the stock of the company.

- a) N/A

(goods or services)

(governmental body to whom sold)

(compensation paid)
- b) _____

(goods or services)

(governmental body to whom sold)

(compensation paid)
- c) _____

(goods or services)

(governmental body to whom sold)

(compensation paid)
- d) _____

(goods or services)

(governmental body to whom sold)

(compensation paid)

SECTION 14- SIGNATURE

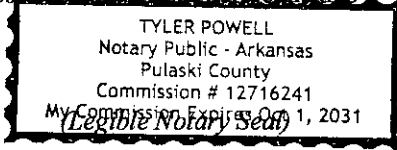
I certify under penalty of false swearing that the above information is true and correct.

T. Williams
Signature

STATE OF ARKANSAS

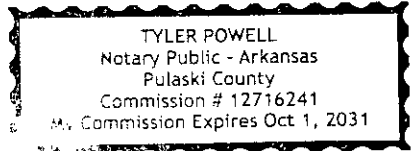
COUNTY OF Pulaski } ss

Subscribed and sworn before me this 31 day of January, 2024.



T. Powell
Notary Public

My commission expires: 10/01/2031



Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

IMPORTANT

Where to file:

- State or district candidates/public servants file with the Secretary of State.
- Appointees to state boards/commissions file with the Secretary of State.
- County, township, and school district candidates/public servants file with the county clerk.
- Municipal candidates/public servants file with the city clerk or recorder, as the case may be.
- City attorneys file with the city clerk of the municipality in which they serve.
- District judges file with the Secretary of State.
- Members of regional boards or commissions file with the county clerk of the county in which they reside.

General Information:

- * The Statement of Financial Interest should be filed by January 31 of each year.
- * The filing covers the previous calendar year.
- * Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31. In addition, if the party filing period ends before January 1 of the year of the general election, candidates for elective office shall file a Statement of Financial Interest for the previous calendar year by no later than January 31 of the year of the general election.
- * Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- * Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- * If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.

Joseph Walker Doramus
 Security Holdings as of 1/31/24
 All Values are In USD

Security ID	CUSIP	Description	Asset Classification
46656MFF3	46656MFF3	JPMORGAN CHASE BK N A INSTL CTF DEP BOOK 5.500% 07/11/28 B/E DTD 07/11/23 CLB CLB 07/11/24	Fixed Income
938828CA6	938828CA6	WASHINGTON FED BK SEATTLE CTF DEP 4.700% 12/22/25 DTD 12/21/22 CLB CLB 02/21/24	Fixed Income
BX	09260D107	BLACKSTONE INC COM	Equities
CAT	149123101	CATERPILLAR INS COM	Equities
CSCO	17275R102	CISCO SYS INC COM	Equities
ED	209115104	CONSOLIDATED EDISON INC COM	Equities
ETN	G29183103	EATON CORPORATION PLC SHS SIN#E0088KQNB27	Equities
JEP1	46641Q332	J P MORGAN EXCHANGE-TRADED FD TR EQUITY PREMIUM INCOME ETF	Investment Funds
JEP1	46641Q332	J P MORGAN EXCHANGE-TRADED FD TR EQUITY PREMIUM INCOME ETF	Investment Funds
KO	191216100	COCA COLA CO COM	Equities
LNT	018802108	ALLIANT ENERGY CORP COM	Equities
LYB	N53745100	LYONDELBASEL INDUSTRIES N V ORD SHS CL A	Equities
MCD	580135101	MCDONALDS CORP COM	Equities
MRK	589331105	MERCK & CO INC NEW COM	Equities
PAYX	704326107	PAYCHEX INC COM	Equities
PFE	717081103	PFIZER INC COM	Equities
PKG	695156109	PACKAGING CORP AMER COM	Equities
PRU	744320102	PRUDENTIAL FINL INC COM	Equities
PSA	74460D109	PUBLIC STORAGE COM	Alternative Investments
QQQ	46090E103	INVESTCO QQQ TR UNIT SER 1	Investment Funds
QQQ	46090E103	INVESTCO QQQ TR UNIT SER 1	Investment Funds
SCHD	808524797	SCHWAB STRATEGIC TR US DIVIDEND EQUITY ETF	Investment Funds
SCHD	808524797	SCHWAB STRATEGIC TR US DIVIDEND EQUITY ETF	Investment Funds
SO	842587107	SOUTHERN CO COM	Equities
SPY	78462F103	SPDR S&P 500 ETF TR UNIT	Investment Funds
STB	PER982359	STEPHENS INSURED BANK SWEEP PROGRAM	Cash, Money Funds and Bank Deposits
STB	PER982359	STEPHENS INSURED BANK SWEEP PROGRAM	Cash, Money Funds and Bank Deposits
STB	PER982359	STEPHENS INSURED BANK SWEEP PROGRAM	Cash, Money Funds and Bank Deposits
TISXX	60934N674	FEDERATED HERMES U.S. TREASURY CASH RESERVES FUND CLASS SS	Investment Funds
TROB	PER983332	FEDERATED HERMES TREASURY OBLIGATIONS FUND INSTL SHARES	Cash, Money Funds and Bank Deposits
TSRYS4494569	912833XP0	UNITED STATES TREAS SEC STRIPPED INT PMT STRIPS INT OF 6.125% 30-YEAR BONDS DUE 0.000% 08/15/29 B/E DTD 08/15/99 N/C	Fixed Income
TSRYS5251195	91282CCX7	UNITED STS TREAS NTS 0.375% 09/15/24 B/E DTD 09/15/21 N/C	Fixed Income
TSRYS5521490	91282CGE5	UNITED STS TREAS NTS 3.875% 01/15/26 B/E DTD 01/15/23 N/C	Fixed Income
TSRYS6553580	912797GY7	UNITED STS TREAS BILLS 0.000% 03/28/24 B/E DTD 09/28/23 N/C	Fixed Income
UPS	911312106	UNITED PARCEL SVC INC CL B	Equities
USB	902973304	US BANCORP DEL COM NEW	Equities
USD999997	USD999997	U.S. DOLLARS CURRENCY	Cash, Money Funds and Bank Deposits
VII	922908769	VANGUARD INDEX FDS VANGUARD TOTAL STK MKT ETF	Investment Funds
VII	922908769	VANGUARD INDEX FDS VANGUARD TOTAL STK MKT ETF	Investment Funds
VIV	922908744	VANGUARD INDEX FDS VANGUARD VALUE ETF	Investment Funds
VIV	922908744	VANGUARD INDEX FDS VANGUARD VALUE ETF	Investment Funds
VZ	92343V104	VERIZON COMMUNICATIONS INC COM	Equities
WM	94106L109	WASTE MGMT INC DEL COM	Equities

