



**SECTION 2- REASON FOR FILING (continued)**

Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission):

Planning board or commission \_\_\_\_\_

Airport board or commission \_\_\_\_\_

Water or Sewer board or commission \_\_\_\_\_

Utility board or commission \_\_\_\_\_

Civil Service commission \_\_\_\_\_

**SECTION 3- SOURCE OF INCOME**

List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or you spouse derives income. For example:

accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct.

a) Check appropriate box:  More than \$1,000  More than \$12,500

C. Smith Holdings, Inc.

\_\_\_\_\_  
(name of employer or source of income)

P. O. Box 7745, Little Rock, AR 72217

\_\_\_\_\_  
(address)

Carey Smith

\_\_\_\_\_  
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Real Estate

b) Check appropriate box:  More than \$1,000  More than \$12,500

Little Rock School District

\_\_\_\_\_  
(name of employer or source of income)

810 W. Markham, Little Rock, AR 72201

\_\_\_\_\_  
(address)

Andria Smith

\_\_\_\_\_  
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Teacher

**SECTION 4- BUSINESS OR HOLDINGS**

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

- a) Check appropriate box:  More than \$1,000  More than \$12,500

C. Smith Holdings, Inc.

(name of corporation, firm or enterprise)

P. O. Box 7745, Little Rock, AR 72217

(address)

Carey Smith

(name under which investment held)

- b) Check appropriate box:  More than \$1,000  More than \$12,500

TDAmeritrade

(name of corporation, firm or enterprise)

200 S. 108th Avenue, Omaha, NE 68154

(address)

Andria & Carey Smith

(name under which investment held)

- c) Check appropriate box:  More than \$1,000  More than \$12,500

American Funds

(name of corporation, firm or enterprise)

P. O. Box 6007, Indianapolis, IN 46206

(address)

Andria & Carey Smith

(name under which investment held)

- d) Check appropriate box:  More than \$1,000  More than \$12,500

Stephens Investment Partners 2006B LLC

(name of corporation, firm or enterprise)

P. O. Box 3507, Little Rock, AR 72203

(address)

Carey Smith

(name under which investment held)

- e) Check appropriate box:  More than \$1,000  More than \$12,500

Chevron Corp

(name of corporation, firm or enterprise)

6001 Bollinger Canyon Road, San Ramon, CA 94583

(address)

Andria & Carey Smith

(name under which investment held)

**SECTION 4- BUSINESS OR HOLDINGS (CONTINUED)**

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

- f) Check appropriate box:  More than \$1,000  More than \$12,500

KB Home

(name of corporation, firm or enterprise)

10990 Wilshire Blvd, Los Angeles, CA 90024

(address)

Andria & Carey Smith

(name under which investment held)

- g) Check appropriate box:  More than \$1,000  More than \$12,500

Coca Cola Company

(name of corporation, firm or enterprise)

1 Coca Cola Plaza NW, Atlanta, GA 30313

(address)

Andria & Carey Smith

(name under which investment held)

- h) Check appropriate box:  More than \$1,000  More than \$12,500

Schwab Value Advantage Money Fund

(name of corporation, firm or enterprise)

3000 Schwab Way, Westlake, TX 76262

(address)

Andria & Carey Smith

(name under which investment held)

- i) Check appropriate box:  More than \$1,000  More than \$12,500

TCW Strategic Income Fund

(name of corporation, firm or enterprise)

515 S. Flower Street, Los Angeles, CA 90071

(address)

Andria & Carey Smith

(name under which investment held)

- j) Check appropriate box:  More than \$1,000  More than \$12,500

Rocket Companies, Inc.

(name of corporation, firm or enterprise)

1050 Woodward, Ave., Detroit, MI 48226

(address)

Andria & Carey Smith

(name under which investment held)

**SECTION 4- BUSINESS OR HOLDINGS (CONTINUED)**

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

k) Check appropriate box:  More than \$1,000  More than \$12,500

C. Smith Development LLC

(name of corporation, firm or enterprise)

P. O. Box 7745, Little Rock, AR 72217

(address)

Carey Smith

(name under which investment held)

l) Check appropriate box:  More than \$1,000  More than \$12,500

Stephens Inc.

(name of corporation, firm or enterprise)

P. O. Box 3507, Little Rock, AR 72203

(address)

Andria & Carey Smith

(name under which investment held)

m) Check appropriate box:  More than \$1,000  More than \$12,500

HSBC Holdings PLC

(name of corporation, firm or enterprise)

452 5<sup>th</sup> Avenue, New York, NY 10018

(address)

Carey Smith

(name under which investment held)

n) Check appropriate box:  More than \$1,000  More than \$12,500

AT&T

(name of corporation, firm or enterprise)

208 S. Akard St. Dallas, TX 75202

(address)

Andria & Carey Smith

(name under which investment held)

**SECTION 5- OFFICE OR DIRECTORSHIP**

List every office or directorship held by you or your spouse in any business, corporation, firm, or enterprise subject to jurisdiction of a regulatory agency of this State, or of any of its political subdivisions.

a) None

(name of business, corporation, firm, or enterprise)

(address)

(office or directorship held)

(name of office holder)

- b) C. Smith Holdings, Inc.  
 \_\_\_\_\_ (name of business, corporation, firm, or enterprise)  
P. O. Box 7745, Little Rock, AR 72217  
 \_\_\_\_\_ (address)  
President  
 \_\_\_\_\_ (office or directorship held)  
Carey Smith  
 \_\_\_\_\_ (name of office holder)
- c) C. Smith Development LLC  
 \_\_\_\_\_ (name of business, corporation, firm, or enterprise)  
P. O. Box 7745, Little Rock, AR 72217  
 \_\_\_\_\_ (address)  
Manager  
 \_\_\_\_\_ (office or directorship held)  
Carey Smith  
 \_\_\_\_\_ (name of office holder)

### **SECTION 6- CREDITORS**

List each creditor to whom the value of five thousand dollars (\$5,000) or more was personally owed or personally obligated and is still outstanding. (This does not include debts owed to members of your family or loans made in the ordinary course of business by either a financial institution or a person who regularly and customarily extends credit.)

- a) Centennial Bank  
 \_\_\_\_\_ (name of creditor)  
2171 W. Main St. Cabot, AR 72023  
 \_\_\_\_\_ (address of creditor)
- b) First National Bank  
 \_\_\_\_\_ (name of creditor)  
300 W. Capitol, Little Rock, AR 72201  
 \_\_\_\_\_ (address of creditor)
- c) Eagle Bank  
 \_\_\_\_\_ (name of creditor)  
122 W. Main St, Heber Springs, AR 72543  
 \_\_\_\_\_ (address of creditor)
- d) Stone Bank  
 \_\_\_\_\_ (name of creditor)  
900 S. Shackleford #210, Little Rock, AR 72211  
 \_\_\_\_\_ (address of creditor)
- e) Midland States Bank  
 \_\_\_\_\_ (name of creditor)  
1201 Network Center Drive, Effingham, IL 62401  
 \_\_\_\_\_ (address of creditor)
- f) Arkansas Federal Credit Union  
 \_\_\_\_\_ (name of creditor)  
17500 Cantrell, Little Rock, AR 72223  
 \_\_\_\_\_ (address of creditor)
- g) Relyance Bank  
 \_\_\_\_\_ (name of creditor)  
8500 Sheridan Rd, White Hall, AR 71602  
 \_\_\_\_\_ (address of creditor)

**SECTION 7- PAST-DUE AMOUNTS OWED TO GOVERNMENT**

List the name and address of each governmental body to which you are legally obligated to pay a past-due amount and a description of the nature of the amount of the obligation.

a)	<u>Not applicable</u>	_____
	(name of governmental body)	(address of governmental body)
	(amount owed)	(nature of the obligation)
b)	<u>Not applicable</u>	_____
	(name of governmental body)	(address of governmental body)
	(amount owed)	(nature of the obligation)

**SECTION 8- GUARANTOR OR CO-MAKER**

List each guarantor or co-maker who has guaranteed a debt of yours that is still outstanding. (This includes debt guarantors arising or extended and refinanced after Jan. 1, 1989. Members of your family who are your guarantors are not required to be disclosed.)

a)	<u>Not applicable</u>	_____
		(name)
		_____
		(address)

**SECTION 9- GIFTS**

List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 received by you or your spouse and of each gift of more than \$250 received by your dependent children. The term "gift" is defined as "any payment, entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given therefor." There are a number of exceptions to the definition of "gift." Those exceptions are set forth in the Instructions for Statement of Financial Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public servant reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within ten (10) days from the date the item was received.)

a)	<u>Not applicable</u>	_____
		(description of gift)
	(date)	(fair market value)
		_____
		(source of gift)

**SECTION 10- AWARDS**

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive lifelong learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

a) Not applicable  
 \_\_\_\_\_  
 (description of award)  
 \_\_\_\_\_  
 (date) (fair market value)  
 \_\_\_\_\_  
 (source of award)

**SECTION 11- NONGOVERNMENTAL SOURCES OF PAYMENT**

List each nongovernmental source of payment of your expenses for food, lodging, or travel which bears a relationship to your office when you appear in your official capacity when the expenses incurred exceed \$150.

a) Not applicable  
 \_\_\_\_\_  
 (name of person or organization paying expense)  
 \_\_\_\_\_  
 (business address)  
 \_\_\_\_\_ \$ \_\_\_\_\_  
 (date of expense) (amount of expense)  
 \_\_\_\_\_  
 (nature of expenditure)

**SECTION 12- DIRECT REGULATION OF BUSINESS**

List any business which employs you and is under direct regulation or subject to direct control by the governmental body which you serve.

a) Not applicable  
 \_\_\_\_\_  
 (name of business)  
 \_\_\_\_\_  
 (governmental body which regulates or controls)

**SECTION 13- SALES TO GOVERNMENTAL BODY**

List the goods or services sold to the governmental body for which you serve which have a total annual value in excess of \$1,000. List the compensation paid for each category of goods or services sold by you or any business in which you or your spouse is an officer, director, or stockholder owning more than 10% of the stock of the company.

a) Not applicable  
 \_\_\_\_\_  
 (goods or services)  
 \_\_\_\_\_  
 (governmental body to whom sold)  
 \_\_\_\_\_

**SECTION 14- SIGNATURE**

I certify under penalty of false swearing that the above information is true and correct.

*Lay Smith*  
Signature

STATE OF ARKANSAS

COUNTY OF Saline } ss

Subscribed and sworn before me this 3<sup>rd</sup> day of JANUARY, 20 24.

Cecelia A Wright  
Notary Public - Arkansas  
Saline County  
~~Commission Expires 2-14-2028~~  
Commission Expires 2-14-2028

*Cecelia A Wright*  
Notary Public

My commission expires: 2.14.2028

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

**IMPORTANT**

**Where to file:**

- State or district candidates/public servants file with the Secretary of State.
- Appointees to state boards/commissions file with the Secretary of State.
- County, township, and school district candidates/public servants file with the county clerk.
- Municipal candidates/public servants file with the city clerk or recorder, as the case may be.
- City attorneys file with the city clerk of the municipality in which they serve.
- District judges file with the Secretary of State.
- Members of regional boards or commissions file with the county clerk of the county in which they reside.

**General Information:**

- \* The Statement of Financial Interest should be filed by January 31 of each year.
- \* The filing covers the previous calendar year.
- \* Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31. In addition, if the party filing period ends before January 1 of the year of the general election, candidates for elective office shall file a Statement of Financial Interest for the previous calendar year by no later than January 31 of the year of the general election.
- \* Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- \* Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- \* If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.