

# STATEMENT OF FINANCIAL INTEREST

State/District officials file with:  
John Thurston, Secretary of State  
500 Woodlane Street  
Little Rock, AR 72201  
Phone (501) 682-5070  
Fax (501) 682-3548

Calendar year covered 2023  
(Note: Filing covers the previous calendar year)

For assistance in completing  
this form contact:  
Arkansas Ethics Commission  
Phone (501) 324-9600  
Toll Free (800) 422-7773

Is this an amendment?  Yes  No

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document. Do not file this form with the Arkansas Ethics Commission.

## SECTION 1- NAME AND ADDRESS

Name Harder, Charles Joseph (Chuck)  
(Last) (First) (Middle)  
Address 5718 Mediterranean Blvd., Benton, AR 72019  
(Street or P.O. Box Number) (City) (State) (Zip Code)  
Phone (501) 682-4058

Spouse's name Harder, Duanna  
(Last) (First) (Middle)

All names under which you and/or your spouse do business: C Harder Consulting LLC (Inactive)

## SECTION 2- REASON FOR FILING

- Public Official \_\_\_\_\_  
(office held)
- Candidate \_\_\_\_\_  
(office sought)
- District Judge \_\_\_\_\_  
(name of district)
- City Attorney \_\_\_\_\_  
(name of city)
- State Government: Agency Head/Department Director/Division Director Public Protection Division, Attorney General  
(name of agency/department/division)
- Chief of Staff or Chief Deputy \_\_\_\_\_  
(name of Constitutional Officer, Senate, or House of Representatives)
- Public appointee to State Board or Commission \_\_\_\_\_  
(name of board/commission)
- School Board member \_\_\_\_\_  
(name of school district)
- Candidate for school board \_\_\_\_\_  
(name of school district)
- Public or Charter School Superintendent \_\_\_\_\_  
(name of school district/school)
- Executive Director of Education Service Cooperative \_\_\_\_\_  
(name of cooperative)
- Advertising and Promotion Commission member \_\_\_\_\_  
(name of advertising and promotion commission)
- Research Park Authority Board member under A.C.A. § 14-144-201 et seq. \_\_\_\_\_  
(name of research park authority board)

MA101

JAN 26 2024

ELECTIONS DIVISION  
ARKANSAS SECRETARY OF STATE



**SECTION 4- BUSINESS OR HOLDINGS**

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box:  More than \$1,000  More than \$12,500  
See Attached List

\_\_\_\_\_  
(name of corporation, firm or enterprise)  
\_\_\_\_\_  
(address)  
\_\_\_\_\_  
(name under which investment held)

b) Check appropriate box:  More than \$1,000  More than \$12,500

\_\_\_\_\_  
(name of corporation, firm or enterprise)  
\_\_\_\_\_  
(address)  
\_\_\_\_\_  
(name under which investment held)

c) Check appropriate box:  More than \$1,000  More than \$12,500

\_\_\_\_\_  
(name of corporation, firm or enterprise)  
\_\_\_\_\_  
(address)  
\_\_\_\_\_  
(name under which investment held)

d) Check appropriate box:  More than \$1,000  More than \$12,500

\_\_\_\_\_  
(name of corporation, firm or enterprise)  
\_\_\_\_\_  
(address)  
\_\_\_\_\_  
(name under which investment held)

e) Check appropriate box:  More than \$1,000  More than \$12,500

\_\_\_\_\_  
(name of corporation, firm or enterprise)  
\_\_\_\_\_  
(address)  
\_\_\_\_\_  
(name under which investment held)

f) Check appropriate box:  More than \$1,000  More than \$12,500

\_\_\_\_\_  
(name of corporation, firm or enterprise)  
\_\_\_\_\_  
(address)  
\_\_\_\_\_  
(name under which investment held)





**SECTION 10- AWARDS**

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive life-long learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

a) None  
\_\_\_\_\_  
(description of award)  
\_\_\_\_\_  
(date) \_\_\_\_\_ (fair market value)  
\_\_\_\_\_  
(source of award)

b) \_\_\_\_\_  
(description of award)  
\_\_\_\_\_  
(date) \_\_\_\_\_ (fair market value)  
\_\_\_\_\_  
(source of award)

c) \_\_\_\_\_  
(description of award)  
\_\_\_\_\_  
(date) \_\_\_\_\_ (fair market value)  
\_\_\_\_\_  
(source of award)

d) \_\_\_\_\_  
(description of award)  
\_\_\_\_\_  
(date) \_\_\_\_\_ (fair market value)  
\_\_\_\_\_  
(source of award)

**SECTION 11- NONGOVERNMENTAL SOURCES OF PAYMENT**

List each nongovernmental source of payment of your expenses for food, lodging, or travel which bears a relationship to your office when you appear in your official capacity when the expenses incurred exceed \$150.

a) See list attached  
\_\_\_\_\_  
(name of person or organization paying expense)  
\_\_\_\_\_  
(business address) \_\_\_\_\_ \$ \_\_\_\_\_  
(date of expense) \_\_\_\_\_ (amount of expense)  
\_\_\_\_\_  
(nature of expenditure)

b) \_\_\_\_\_  
(name of person or organization paying expense)  
\_\_\_\_\_  
(business address) \_\_\_\_\_ \$ \_\_\_\_\_  
(date of expense) \_\_\_\_\_ (amount of expense)  
\_\_\_\_\_  
(nature of expenditure)

**SECTION 12- DIRECT REGULATION OF BUSINESS**

List any business which employs you and is under direct regulation or subject to direct control by the governmental body which you serve.

- a) None  
\_\_\_\_\_  
(name of business)  
\_\_\_\_\_  
(governmental body which regulates or controls)
- b) \_\_\_\_\_  
(name of business)  
\_\_\_\_\_  
(governmental body which regulates or controls)
- c) \_\_\_\_\_  
(name of business)  
\_\_\_\_\_  
(governmental body which regulates or controls)
- d) \_\_\_\_\_  
(name of business)  
\_\_\_\_\_  
(governmental body which regulates or controls)

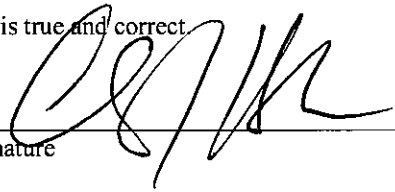
**SECTION 13- SALES TO GOVERNMENTAL BODY**

List the goods or services sold to the governmental body for which you serve which have a total annual value in excess of \$1,000. List the compensation paid for each category of goods or services sold by you or any business in which you or your spouse is an officer, director, or stockholder owning more than 10% of the stock of the company.

- a) None  
\_\_\_\_\_  
(goods or services)  
\_\_\_\_\_  
(governmental body to whom sold)  
\_\_\_\_\_  
(compensation paid)
- b) \_\_\_\_\_  
(goods or services)  
\_\_\_\_\_  
(governmental body to whom sold)  
\_\_\_\_\_  
(compensation paid)
- c) \_\_\_\_\_  
(goods or services)  
\_\_\_\_\_  
(governmental body to whom sold)  
\_\_\_\_\_  
(compensation paid)
- d) \_\_\_\_\_  
(goods or services)  
\_\_\_\_\_  
(governmental body to whom sold)  
\_\_\_\_\_  
(compensation paid)

**SECTION 14- SIGNATURE**

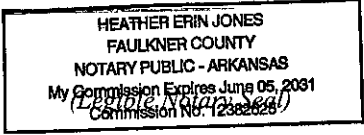
I certify under penalty of false swearing that the above information is true and correct.

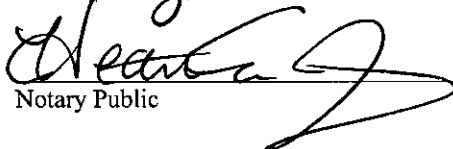
  
Signature

STATE OF ARKANSAS

COUNTY OF Faulkner } ss

Subscribed and sworn before me this 25<sup>th</sup> day of January, 2024.



  
Notary Public

My commission expires: 6/5/2031

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

**IMPORTANT**

**Where to file:**

- State or district candidates/public servants file with the Secretary of State.
- Appointees to state boards/commissions file with the Secretary of State.
- County, township, and school district candidates/public servants file with the county clerk.
- Municipal candidates/public servants file with the city clerk or recorder, as the case may be.
- City attorneys file with the city clerk of the municipality in which they serve.
- District judges file with the Secretary of State.
- Members of regional boards or commissions file with the county clerk of the county in which they reside.

**General Information:**

- \* The Statement of Financial Interest should be filed by January 31 of each year.
- \* The filing covers the previous calendar year.
- \* Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31. In addition, if the party filing period ends before January 1 of the year of the general election, candidates for elective office shall file a Statement of Financial Interest for the previous calendar year by no later than January 31 of the year of the general election.
- \* Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- \* Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- \* If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.

Ark. Code Ann. § 21-8-403 provides that, upon conviction, any person who violates any provision of subchapter 4, 6, 7, or 8 of chapter 8, Title 21 of the Arkansas Code is guilty of a Class A misdemeanor. The culpable mental state required shall be a purposeful violation.

## ATTACHMENT - SECTION 4 - BUSINESS OR HOLDINGS

<b>Security or Financial Institution (Each More Than \$12,500)</b>	<b>Symbol</b>
Simmons Bank (Checking and Money Market Accounts)	
AMCAP FUND CLASS F1 - AMERICAN FUND N/L	AMPFX
American Century Heritage E Fund	TWHIX
BLACKROCK GLOBAL ALLOCATION FUND INSTL CL N/L	MALOX
COLUMBIA CONTRARIAN CORE FUND CLASS Z N/L	SMGIX
COLUMBIA SELIGMAN COMM & INFORMATION FUND CLASS Z N/L	CCIZX
COLUMBIA STRATEGIC INCOME FUND CLASS Z N/L	LSIZX
COUNTERPOINT TACTICAL INCOME FUND	CPITX
Dodge & Cox Stock Fund	DODGX
DWS CROCI EQUITY DIVIDEND FUND INST CLASS N/L	KDHIX
Fidelity Advisor® Total Bond Fund Class I	FEPIX
Invesco Discovery Fund Class R6	ODIIX
Invesco Discovery Mid Cap Growth Fund R6	OEGIX
INVESTMENT COMPANY OF AMERICA CLASS F1 - AMERICAN N/L	AICFX
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS A M/F - NATIXIS ADV	NEFZX
PGIM Jennison Growth Fund- Class R6	PJFQX
PRINCIPAL GLOBAL DIVERSIFIED INCOME FUND CLASS P N/L	PGDPX
PRINCIPAL MID CAP FUND CLASS P N/L	PMCPX
T. Rowe Price Mid-Cap Value Fund I Class	TRMIX
T. Rowe Price Small-Cap Value Fund I Class	PRVIX
TRANSAMERICA FLEXIBLE INCOME FUND CLASS I N/L	TFXIX
TRANSAMERICA MID CAP VALUE OPPORTUNITIES FUND CLASS I N/L	MVTIX
Vanguard Institutional Index	VINIX

## ATTACHMENT - SECTION 11 - NONGOVERNMENTAL SOURCES OF PAYMENT

- |   |   |
|---|---|
| a) <b>Name of Person or Organization Paying Expense</b> | New Mexico State University Center for Public Utilities                               |
| <b>Business Address</b>                                 | P.O. Box 30001, Las Cruces, NM 88003-8001   |
| <b>Date(s) of Expense</b>                               | April 14-19, 2023   |
| <b>Amount of Expense</b>                                | \$2,673.79  |
| <b>Nature of Expenditure</b>                            | Conference registration and travel costs<br>2023 Current Issues Conference (panelist) |
| b) <b>Name of Person or Organization Paying Expense</b> | Attorney General Alliance   |
| <b>Business Address</b>                                 | 5050 Laguna Blvd. Ste. 112-323, Elk Grove, CA 95758                                   |
| <b>Date(s) of Expense</b>                               | August 9-11, 2023   |
| <b>Amount of Expense</b>                                | \$2,673.79  |
| <b>Nature of Expenditure</b>                            | Conference travel costs<br>Energy & Environmental Stewardship Summit (attendee)       |
| c) <b>Name of Person or Organization Paying Expense</b> | National Association of Attorneys General   |
| <b>Business Address</b>                                 | 1850 M Street NW, 12th Floor, Washington, DC 20036                                    |
| <b>Date(s) of Expense</b>                               | November 6-9, 2023  |
| <b>Amount of Expense</b>                                | \$2,147.18  |
| <b>Nature of Expenditure</b>                            | Conference registration and travel costs<br>2023 Consumer Protection Fall Conference  |