

# STATEMENT OF FINANCIAL INTEREST

State/District officials file with:  
John Thurston, Secretary of State  
500 Woodlane Street  
Little Rock, AR 72201  
Phone (501) 682-5070  
Fax (501) 682-3548

Calendar year covered 2023  
(Note: Filing covers the previous calendar year)

For assistance in completing  
this form contact:  
Arkansas Ethics Commission  
Phone (501) 324-9600  
Toll Free (800) 422-7773

Is this an amendment?  Yes  No

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document. Do not file this form with the Arkansas Ethics Commission.

## SECTION 1- NAME AND ADDRESS

Name Caldwell Ronald Ray  
(Last) (First) (Middle)  
Address 120 CR 393 Wynne AR 72392  
(Street or P.O. Box Number) (City) (State) (Zip Code)  
Phone 870-208-3114  
Spouse's name Caldwell Brenda Ann  
(Last) (First) (Middle)

All names under which you and/or your spouse do business: Caldwell Family Enterprises LLC  
Caldwell Development LLC

## SECTION 2- REASON FOR FILING

- Public Official State Senate District 10  
(office held)
- Candidate \_\_\_\_\_  
(office sought)
- District Judge \_\_\_\_\_  
(name of district)
- City Attorney \_\_\_\_\_  
(name of city)
- State Government: Agency Head/Department Director/Division Director \_\_\_\_\_  
(name of agency/department/division)
- Chief of Staff or Chief Deputy \_\_\_\_\_  
(name of Constitutional Officer, Senate, or House of Representatives)
- Public appointee to State Board or Commission \_\_\_\_\_  
(name of board/commission)
- School Board member \_\_\_\_\_  
(name of school district)
- Candidate for school board \_\_\_\_\_  
(name of school district)
- Public or Charter School Superintendent \_\_\_\_\_  
(name of school district/school)
- Executive Director of Education Service Cooperative \_\_\_\_\_  
(name of cooperative)
- Advertising and Promotion Commission member \_\_\_\_\_  
(name of advertising and promotion commission)
- Research Park Authority Board member under A.C.A. § 14-144-201 et seq. \_\_\_\_\_  
(name of research park authority board)

**FILED**  
FEB 08 2024  
Arkansas  
Secretary of State

**SECTION 2- REASON FOR FILING (continued)**

- Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission):
  - Planning board or commission \_\_\_\_\_
  - Airport board or commission \_\_\_\_\_
  - Water or Sewer board or commission \_\_\_\_\_
  - Utility board or commission \_\_\_\_\_
  - Civil Service commission \_\_\_\_\_

**SECTION 3- SOURCE OF INCOME**

List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or you spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct.

- a) Check appropriate box:  More than \$1,000  More than \$12,500

STATE OF ARKANSAS  
(name of employer or source of income)  
500 Woodlane Drive Little Rock Ar 72211  
(address)  
Ronald Caldwell  
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received State Employee  
Arkansas Senate

- b) Check appropriate box:  More than \$1,000  More than \$12,500

Caldwell Family Enterprises LLC  
(name of employer or source of income)  
120 CR 393 Wynne Ar 72396  
(address)  
Ronald Caldwell  
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Real Estate

- c) Check appropriate box:  More than \$1,000  More than \$12,500

US Government  
(name of employer or source of income)  
Ronald Caldwell  
(address)  
Ronald Caldwell  
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Social Security

**SECTION 4- BUSINESS OR HOLDINGS**

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box:  More than \$1,000  More than \$12,500  
U.S. Government Dept of Treasury  
(name of corporation, firm or enterprise)

Brenda Caldwell - Social Security  
(address)  
(name under which investment held)

b) Check appropriate box:  More than \$1,000  More than \$12,500  
Edward James Investment  
(name of corporation, firm or enterprise)

509 North Falls Blvd Wynne Ar  
(address)  
Ronald Caldwell  
(name under which investment held)

c) Check appropriate box:  More than \$1,000  More than \$12,500  
Edward James Investments  
(name of corporation, firm or enterprise)

509 North Falls Blvd Wynne Ar 72396  
(address)  
Brenda Caldwell  
(name under which investment held)

d) Check appropriate box:  More than \$1,000  More than \$12,500  
Amorise Investments  
(name of corporation, firm or enterprise)

3085 Foundry Hill Memphis TN 38138  
(address)  
Brenda Caldwell  
(name under which investment held)

e) Check appropriate box:  More than \$1,000  More than \$12,500  
Cross Bank  
(name of corporation, firm or enterprise)

399 Merriam St Wynne Ark.  
(address)  
Ronald Caldwell  
(name under which investment held)

f) Check appropriate box:  More than \$1,000  More than \$12,500  
Raymond James Investments  
(name of corporation, firm or enterprise)

16609 Canfield Little Rock Ar 72223  
(address)  
Ronald Caldwell  
(name under which investment held)

**SECTION 5- OFFICE OR DIRECTORSHIP**

List every office or directorship held by you or your spouse in any business, corporation, firm, or enterprise subject to jurisdiction of a regulatory agency of this State, or of any of its political subdivisions.

a) Cross Bank (name of business, corporation, firm, or enterprise)  
399 Merriam Ave Wynne Ar (address)  
Director  
Ronald Caldwell (office or directorship held)  
(name of office holder)

b) \_\_\_\_\_ (name of business, corporation, firm, or enterprise)  
\_\_\_\_\_ (address)  
\_\_\_\_\_ (office or directorship held)  
\_\_\_\_\_ (name of office holder)

**SECTION 6- CREDITORS**

List each creditor to whom the value of five thousand dollars (\$5,000) or more was personally owed or personally obligated and is still outstanding. (This does not include debts owed to members of your family or loans made in the ordinary course of business by either a financial institution or a person who regularly and customarily extends credit.)

a) First Financial Bank (name of creditor)  
528 Merriam Wynne Ar 72396 (address of creditor)

b) \_\_\_\_\_ (name of creditor)  
\_\_\_\_\_ (address of creditor)

c) \_\_\_\_\_ (name of creditor)  
\_\_\_\_\_ (address of creditor)

**SECTION 7- PAST-DUE AMOUNTS OWED TO GOVERNMENT**

List the name and address of each governmental body to which you are legally obligated to pay a past-due amount and a description of the nature of the amount of the obligation.

a) 0 (name of governmental body) \_\_\_\_\_ (address of governmental body)  
\_\_\_\_\_ (amount owed) \_\_\_\_\_ (nature of the obligation)

b) \_\_\_\_\_ (name of governmental body) \_\_\_\_\_ (address of governmental body)  
\_\_\_\_\_ (amount owed) \_\_\_\_\_ (nature of the obligation)







**SECTION 14- SIGNATURE**

I certify under penalty of false swearing that the above information is true and correct.

Ronald Caldwell  
Signature

STATE OF ARKANSAS

COUNTY OF Pulaski } ss

Subscribed and sworn before me this 9th day of February, 20 24.

JASMINE WILLIAMS  
PULASKI COUNTY  
NOTARY PUBLIC - ARKANSAS  
My Commission Expires April 28, 2033  
Commission No. 12723531

Jasmine Williams  
Notary Public

My commission expires: April 28, 2033

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

**IMPORTANT**

**Where to file:**

- State or district candidates/public servants file with the Secretary of State.
- Appointees to state boards/commissions file with the Secretary of State.
- County, township, and school district candidates/public servants file with the county clerk.
- Municipal candidates/public servants file with the city clerk or recorder, as the case may be.
- City attorneys file with the city clerk of the municipality in which they serve.
- District judges file with the Secretary of State.
- Members of regional boards or commissions file with the county clerk of the county in which they reside.

**General Information:**

- \* The Statement of Financial Interest should be filed by January 31 of each year.
- \* The filing covers the previous calendar year.
- \* Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31. In addition, if the party filing period ends before January 1 of the year of the general election, candidates for elective office shall file a Statement of Financial Interest for the previous calendar year by no later than January 31 of the year of the general election.
- \* Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- \* Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- \* If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.

Ark. Code Ann. § 21-8-403 provides that, upon conviction, any person who violates any provision of subchapter 4, 6, 7, or 8 of chapter 8, Title 21 of the Arkansas