

# STATEMENT OF FINANCIAL INTEREST

State/District officials file with:  
John Thurston, Secretary of State  
500 Woodlane Street  
Little Rock, AR 72201  
Phone (501) 682-5070  
Fax (501) 682-3548

Calendar year covered 2023  
(Note: Filing covers the previous calendar year)

For assistance in completing  
this form contact:  
Arkansas Ethics Commission  
Phone (501) 324-9600  
Toll Free (800) 422-7773

Is this an amendment?  Yes  No

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document. Do not file this form with the Arkansas Ethics Commission.

## SECTION 1- NAME AND ADDRESS

Name Sloan Graham F.  
(Last) (First) (Middle)  
Address 416 Orange Street North Little Rock Arkansas 72114  
(Street or P.O. Box Number) (City) (State) (Zip Code)  
Phone 501-772-3104

Spouse's name N/A  
(Last) (First) (Middle)

All names under which you and/or your spouse do business: N/A

## SECTION 2- REASON FOR FILING

- Public Official \_\_\_\_\_ (office held)
- Candidate \_\_\_\_\_ (office sought)
- District Judge \_\_\_\_\_ (name of district)
- City Attorney \_\_\_\_\_ (name of city)
- State Government: Agency Head/Department Director/Division Director Arkansas Ethics Commission  
(name of agency/department/division)
- Chief of Staff or Chief Deputy \_\_\_\_\_ (name of Constitutional Officer, Senate, or House of Representatives)
- Public appointee to State Board or Commission \_\_\_\_\_ (name of board/commission)
- School Board member \_\_\_\_\_ (name of school district)
- Candidate for school board \_\_\_\_\_ (name of school district)
- Public or Charter School Superintendent \_\_\_\_\_ (name of school district/school)
- Executive Director of Education Service Cooperative \_\_\_\_\_ (name of cooperative)
- Advertising and Promotion Commission member \_\_\_\_\_ (name of advertising and promotion commission)
- Research Park Authority Board member under A.C.A. § 14-144-201 et seq. \_\_\_\_\_ (name of research park authority board)

**F I L E D**

**JAN 23 2024**

**Arkansas  
Secretary of State**



**SECTION 4- BUSINESS OR HOLDINGS**

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

**\*\*\*\*\*See Attached Schedule\*\*\*\*\***

a) Check appropriate box:  More than \$1,000  More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

b) Check appropriate box:  More than \$1,000  More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

c) Check appropriate box:  More than \$1,000  More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

d) Check appropriate box:  More than \$1,000  More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

e) Check appropriate box:  More than \$1,000  More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

f) Check appropriate box:  More than \$1,000  More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

**SECTION 5- OFFICE OR DIRECTORSHIP**

List every office or directorship held by you or your spouse in any business, corporation, firm, or enterprise subject to jurisdiction of a regulatory agency of this State, or of any of its political subdivisions.

a) **NONE**

(name of business, corporation, firm, or enterprise)

(address)

(office or directorship held)

(name of office holder)

b)

(name of business, corporation, firm, or enterprise)

(address)

(office or directorship held)

(name of office holder)

**SECTION 6- CREDITORS**

List each creditor to whom the value of five thousand dollars (\$5,000) or more was personally owed or personally obligated and is still outstanding. (This does not include debts owed to members of your family or loans made in the ordinary course of business by either a financial institution or a person who regularly and customarily extends credit.)

a) **NONE**

(name of creditor)

(address of creditor)

b)

(name of creditor)

(address of creditor)

c)

(name of creditor)

(address of creditor)

**SECTION 7- PAST-DUE AMOUNTS OWED TO GOVERNMENT**

List the name and address of each governmental body to which you are legally obligated to pay a past-due amount and a description of the nature of the amount of the obligation.

a) **NONE**

(name of governmental body)

(address of governmental body)

(amount owed)

(nature of the obligation)

b)

(name of governmental body)

(address of governmental body)

(amount owed)

(nature of the obligation)

**SECTION 8- GUARANTOR OR CO-MAKER**

List each guarantor or co-maker who has guaranteed a debt of yours that is still outstanding. (This includes debt guarantors arising or extended and refinanced after Jan. 1, 1989. Members of your family who are your guarantors are not required to be disclosed.)

- a) NONE  
\_\_\_\_\_  
(name)  
\_\_\_\_\_  
(address)
- b) \_\_\_\_\_  
(name)  
\_\_\_\_\_  
(address)

**SECTION 9- GIFTS**

List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 received by you or your spouse and of each gift of more than \$250 received by your dependent children. The term "gift" is defined as "any payment, entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given therefor." There are a number of exceptions to the definition of "gift." Those exceptions are set forth in the Instructions for Statement of Financial Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public servant reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within ten (10) days from the date the item was received.)


- a) NONE  
\_\_\_\_\_  
(description of gift)  
\_\_\_\_\_  
(date) (fair market value)  
\_\_\_\_\_  
(source of gift)
- b) \_\_\_\_\_  
(description of gift)  
\_\_\_\_\_  
(date) (fair market value)  
\_\_\_\_\_  
(source of gift)
- c) \_\_\_\_\_  
(description of gift)  
\_\_\_\_\_  
(date) (fair market value)  
\_\_\_\_\_  
(source of gift)
- d) \_\_\_\_\_  
(description of gift)  
\_\_\_\_\_  
(date) (fair market value)  
\_\_\_\_\_  
(source of gift)
- e) \_\_\_\_\_  
(description of gift)  
\_\_\_\_\_  
(date) (fair market value)  
\_\_\_\_\_  
(source of gift)





**SECTION 14- SIGNATURE**

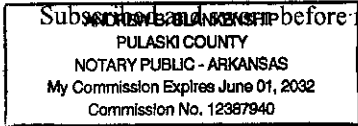
I certify under penalty of false swearing that the above information is true and correct.

  
Signature

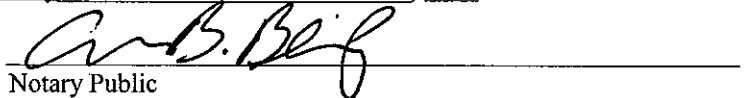
STATE OF ARKANSAS

} ss

COUNTY OF PULASKI



Subscribed and sworn to before me this 23<sup>rd</sup> day of January, 2024

  
Notary Public

My commission expires: 06/01/2032

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

**IMPORTANT**

**Where to file:**

- State or district candidates/public servants file with the Secretary of State.
- Appointees to state boards/commissions file with the Secretary of State.
- County, township, and school district candidates/public servants file with the county clerk.
- Municipal candidates/public servants file with the city clerk or recorder, as the case may be.
- City attorneys file with the city clerk of the municipality in which they serve.
- District judges file with the Secretary of State.
- Members of regional boards or commissions file with the county clerk of the county in which they reside.

**General Information:**

- \* The Statement of Financial Interest should be filed by January 31 of each year.
- \* The filing covers the previous calendar year.
- \* Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31. In addition, if the party filing period ends before January 1 of the year of the general election, candidates for elective office shall file a Statement of Financial Interest for the previous calendar year by no later than January 31 of the year of the general election.
- \* Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- \* Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- \* If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.

**Page 1 of 3 - Attachment to Statement of Financial Interest (Calendar Year 2023)**  
**Graham F. Sloan - Section 4 – Business or Holdings**

1. AB Sustainable Global Thematic Fund (Class A) c/o Benjamin F. Edwards & Co., One North Brentwood Blvd., Suite 850., St. Louis, MO 63105 Graham F. Sloan	more than \$1,000
2. America Movil SAB DE NYSE Graham F. Sloan	more than \$1,000
3. Avangrid, Inc. NYSE	more than \$1,000
4. Bank OZK NASDAQ Graham F. Sloan	more than \$1,000
5. Canadian Natural Resources, Ltd. NYSE Graham F. Sloan	more than \$1,000
6. Cisco Systems, Inc. NASDAQ Graham F. Sloan	more than \$1,000
7. Citigroup, Inc. NYSE Graham F. Sloan	more than \$1,000
8. Disney Walt Co. NYSE Graham F. Sloan	more than \$12,500
9. Eastgroup Properties, Inc. NYSE Graham F. Sloan	more than \$12,500
10. Enbridge, Inc. Toronto SE Graham F. Sloan	more than \$1,000
11. Meta Platforms, Inc. (Class A) NASDAQ Graham F. Sloan	more than \$12,500
12. F.N.B. Corporation NYSE Graham F. Sloan	more than \$1,000
13. Ford Motor Company NYSE Graham F. Sloan	more than \$1,000
14. General Mills, Inc. NASDAQ Graham F. Sloan	more than \$1,000
15. Harley Davidson, Inc. NYSE Graham F. Sloan	more than \$12,500
16. Home Bancshares Inc. NASDAQ	more than \$12,500
17. Intel Corp. NASDAQ Graham F. Sloan	more than \$1,000

**Page 2 of 3 - Attachment to Statement of Financial Interest (Calendar Year 2023)**  
**Graham F. Sloan - Section 4 – Business or Holdings**

18. Kraft Heinz Co. NASDAQ Graham F. Sloan	more than \$12,500
19. LiveRamp Holdings Inc. NYSE Graham F. Sloan	more than 12,500
20. Lowe's Companies, Inc. NASDAQ Graham F. Sloan	more than \$12,500
21. NVIDIA Corp. NASDAQ Graham F. Sloan	more than \$12,500
22. Polaris Industries, Inc. NYSE Graham F. Sloan	more than \$12,500
23. Prologis, Inc. NYSE Graham F. Sloan	more than \$1,000
24. Prudential Financial, Inc. NYSE Graham F. Sloan	more than \$1,000
25. Invesco Global Fund (Class A) c/o Benjamin F. Edwards & Co., One North Brentwood Blvd., Suite 850., St. Louis, MO 63105 Graham F. Sloan	more than \$12,500
26. QORVO, Inc. NASDAQ Graham F. Sloan	more than \$1,000
27. Ralph Lauren Corp. NYSE Graham F. Sloan	more than \$1,000
28. TE Connectivity, Ltd. NYSE Graham F. Sloan	more than \$1,000
29. Wells Fargo & Company NYSE Graham F. Sloan	more than \$1,000
30. Benjamin F. Edwards & Co. One North Brentwood Blvd., Suite 850., St. Louis, MO 63105 Graham F. Sloan	more than \$12,500
31. Arkansas Federal Credit Union 1001 W. Capitol Ave., Little Rock, AR 72201 Graham F. Sloan	more than \$1,000
32. Arvest Bank 500 Broadway Place, Little Rock, AR 72201 Graham F. Sloan	more than \$1,000
33. Arkansas Diamond Deferred Compensation Plan 1515 West 7 <sup>th</sup> Street, Suite 300, P.O. Box 15610, Little Rock, AR 72231 Graham F. Sloan	more than \$12,500

**Page 3 of 3 - Attachment to Statement of Financial Interest (Calendar Year 2023)**  
**Graham F. Sloan - Section 4 – Business or Holdings**

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|---|--------------------|
| 34. Arkansas Public Employees Retirement System<br>One Union National Plaza<br>124 West Capitol Ave., Suite 400, Little Rock, AR 72201<br>Graham F. Sloan           | more than \$12,500 |
| 35. McCormick & Co. Inc.<br>NYSE<br>Graham F. Sloan   | more than \$1,000  |
| 36. Microsoft<br>NASDAQ<br>Graham F. Sloan  | more than \$12,500 |
| 37. First TR Large Cap Core Alphasdex FD Com SHS<br>c/o Benjamin F. Edwards & Co., One North Brentwood Blvd.,<br>Suite 850., St. Louis, MO 63105<br>Graham F. Sloan | more than \$1,000  |
| 38. Putnam Large Cap Value Fund Class A<br>c/o Benjamin F. Edwards & Co., One North Brentwood Blvd.,<br>Suite 850., St. Louis, MO 63105<br>Graham F. Sloan          | more than \$12,500 |
| 39. Putnam Large Cap Growth Fund Class A<br>c/o Benjamin F. Edwards & Co., One North Brentwood Blvd.,<br>Suite 850., St. Louis, MO 63105<br>Graham F. Sloan         | more than \$12,500 |