

STATEMENT OF FINANCIAL INTEREST

State/District officials file with:
John Thurston, Secretary of State
500 Woodlane Street
Little Rock, AR 72201
Phone (501) 682-5070
Fax (501) 682-3548

Calendar year covered _____
(Note: Filing covers the previous calendar year)

For assistance in completing
this form contact:
Arkansas Ethics Commission
Phone (501) 324-9600
Toll Free (800) 422-7773

Is this an amendment? Yes No

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document. Do not file this form with the Arkansas Ethics Commission.

SECTION 1- NAME AND ADDRESS

Name Schaffer Kate B
Address 402 (Last) Dickson Bentonville AR 72712 (Middle)
Phone 501 520 1850 (Street or P.O. Box Number) (City) (State) (Zip Code)
Spouse's name Schaffer Greg P
(Last) (First) (Middle)
All names under which you and/or your spouse do business: _____

SECTION 2- REASON FOR FILING

- Public Official _____
- Candidate State Representative District 10
(office held) (office sought) **FILED**
- District Judge _____
(name of district) **JAN 31 2024**
- City Attorney _____
(name of city) **Arkansas**
- State Government: Agency Head/Department Director/Division Director _____
(name of agency/department/division) **Secretary of State**
- Chief of Staff or Chief Deputy _____
(name of Constitutional Officer, Senate, or House of Representatives)
- Public appointee to State Board or Commission _____
(name of board/commission)
- School Board member _____
(name of school district)
- Candidate for school board _____
(name of school district)
- Public or Charter School Superintendent _____
(name of school district/school)
- Executive Director of Education Service Cooperative _____
(name of cooperative)
- Advertising and Promotion Commission member _____
(name of advertising and promotion commission)
- Research Park Authority Board member under A.C.A. § 14-144-201 et seq. _____
(name of research park authority board)

SECTION 2- REASON FOR FILING (continued)

- Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission):
 - Planning board or commission _____
 - Airport board or commission _____
 - Water or Sewer board or commission _____
 - Utility board or commission _____
 - Civil Service commission _____

SECTION 3- SOURCE OF INCOME

List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or you spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct.

a) Check appropriate box: More than \$1,000 More than \$12,500

Walmart
(name of employer or source of income)
702 SW 8th St Bentonville AR 72712
(address)
Gregory Paul Schaffer
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Employee

b) Check appropriate box: More than \$1,000 More than \$12,500

Jamie Dyer and Madeline Sparkling
(name of employer or source of income)
153 Peninsula Pt Hot Springs, AR
(address) 71901
Kate and Greg Schaffer
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Rent for property

c) Check appropriate box: More than \$1,000 More than \$12,500

(name of employer or source of income)

(address)

(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received _____

SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

- a) Check appropriate box: More than \$1,000 More than \$12,500
Walmart
(name of corporation, firm or enterprise)
702 SW 8th Bentonville AR 72712
(address)
Greg Schaffer
(name under which investment held)

- b) Check appropriate box: More than \$1,000 More than \$12,500
American Vanguard 529
(name of corporation, firm or enterprise)
Greg Schaffer (address) Fbo Isaac Schaffer
(name under which investment held) Fbo Jenna Schaffer

- c) Check appropriate box: More than \$1,000 More than \$12,500
Vitrus NewFleet Multi-Sector short-term bond
(name of corporation, firm or enterprise) Fund
One Financial Plaza Hartford, Conn 06103
(address)
Gregory Paul Schaffer
(name under which investment held)

- d) Check appropriate box: More than \$1,000 More than \$12,500
America Bond Fund of America
(name of corporation, firm or enterprise)
Gregory Paul Schaffer (address)
(name under which investment held)

- e) Check appropriate box: More than \$1,000 More than \$12,500
Wasatch small cap value fund
(name of corporation, firm or enterprise)
Gregory Paul Schaffer (address)
(name under which investment held)

- f) Check appropriate box: More than \$1,000 More than \$12,500
Allspring Special Mid Cap Value Fund
(name of corporation, firm or enterprise)
Gregory Paul Schaffer (address)
(name under which investment held)

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List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500
Artisan International Fund I
(name of corporation, firm or enterprise)

Gregory Paul Schaffer
(name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500
Eaton Vance Large Cap Value Fund
(name of corporation, firm or enterprise)

Gregory Paul Schaffer
(name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500
Energy Transfer LP Commercial Unit Ltd Partnership
(name of corporation, firm or enterprise)

Gregory Paul Schaffer
(name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500
JP Morgan Chase + Co COM
(name of corporation, firm or enterprise)

270 Park Ave 31st Floor NY NY 10017
Gregory Paul Schaffer
(name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500
American Growth Fund of America
(name of corporation, firm or enterprise)

Gregory Paul Schaffer
(name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500
META Platforms Inc
(name of corporation, firm or enterprise)

Gregory Paul Schaffer
(name under which investment held)

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List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500
Pioneer National Rest Co
(name of corporation, firm or enterprise)
777 Hidden Ridge Irving, TX 75038
(address)
Gregory Paul Schaffer
(name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500
Bristol Myers Squibb Co
(name of corporation, firm or enterprise)
Route 206 and Providence Line Rd Princeton NJ 08543
(address)
Gregory Paul Schaffer
(name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500
Chevron Corp
(name of corporation, firm or enterprise)
6001 Bollinger Canyon Rd San Ramon CA
(address)
Gregory Paul Schaffer
(name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500
Goldman Sachs Active ETF International Equity
(name of corporation, firm or enterprise)
Greg Schaffer
(address)
Greg Schaffer
(name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500
Goldman Sachs TR ETF Active Beta Emerging Markets
(name of corporation, firm or enterprise)
Greg Schaffer
(address)
Greg Schaffer
(name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500
Goldman Sachs TR ETF Active Beta US Large Cap Equity
(name of corporation, firm or enterprise)
Greg Schaffer
(address)
Greg Schaffer
(name under which investment held)

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List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500
INVESCO optimum yield ETF Diversified Commodity Strategy
(name of corporation, firm or enterprise) No. K-1

Greg Schaffer (address)
(name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500
Ishares ETF US Equity Factor
(name of corporation, firm or enterprise)

Greg Schaffer (address)
(name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500
Ishares Core MSCI ETF EAFE ETF
(name of corporation, firm or enterprise)

Greg Schaffer (address)
(name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500
Ishares Core S&P 500 ETF US Stock Market ETF
(name of corporation, firm or enterprise)

Greg Schaffer (address)
(name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500
Ishares Core S&P 500 ETF Small cap
(name of corporation, firm or enterprise)

Greg Schaffer (address)
(name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500
Ishares INC. ETF Core MSCI Emerging Markets
(name of corporation, firm or enterprise)

Greg Schaffer (address)
(name under which investment held)

SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500
Vanguard Extended ETF Market
(name of corporation, firm or enterprise)

(address)
Greg Schaffer
(name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500
John Hancock Sovereign Bond Class One
(name of corporation, firm or enterprise)

(address)
Greg Schaffer
(name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500
Pimco FDS Income FD INSTL CL
(name of corporation, firm or enterprise)

(address)
Greg Schaffer
(name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500
Thornburg Investment TR Strategic Income FD CLI
(name of corporation, firm or enterprise)

(address)
Greg Schaffer
(name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500
KTOS KRATOS DEFENSE + SECURITY SYSTEMS
(name of corporation, firm or enterprise)

10680 Treerest Cir San Diego CA 92131
(address)
Greg Schaffer
(name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500
Verizon Savings PLAN
(name of corporation, firm or enterprise)

1095 Avenue of the Americas NY NY 10036
(address)
Greg Schaffer
(name under which investment held)

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List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500
Bitcoin
1221 Brickell Ave Suite 900 Miami FLA
Gregory Schaffer
(name of corporation, firm or enterprise)
(address)
(name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500
Bank of America
100 N. Tryon Charlotte NC 28255
Greg and Kate Schaffer
(name of corporation, firm or enterprise)
(address)
(name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500
Invest Bank
5000 Rogers Ave Ft Smith AR 72903
Greg and Kate Schaffer
(name of corporation, firm or enterprise)
(address)
(name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500
PNC BANK
300 5th Ave Pittsburgh PA 15222
Greg Schaffer
(name of corporation, firm or enterprise)
(address)
(name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500
TRUIST
Kate Schaffer
(name of corporation, firm or enterprise)
(address)
(name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500

(name of corporation, firm or enterprise)

(address)

(name under which investment held)

SECTION 5- OFFICE OR DIRECTORSHIP

List every office or directorship held by you or your spouse in any business, corporation, firm, or enterprise subject to jurisdiction of a regulatory agency of this State, or of any of its political subdivisions.

a) N/A
 (name of business, corporation, firm, or enterprise)

 (address)

 (office or directorship held)

 (name of office holder)

b) _____
 (name of business, corporation, firm, or enterprise)

 (address)

 (office or directorship held)

 (name of office holder)

SECTION 6- CREDITORS

List each creditor to whom the value of five thousand dollars (\$5,000) or more was personally owed or personally obligated and is still outstanding. (This does not include debts owed to members of your family or loans made in the ordinary course of business by either a financial institution or a person who regularly and customarily extends credit.)

a) N/A
 (name of creditor)

 (address of creditor)

b) _____
 (name of creditor)

 (address of creditor)

c) _____
 (name of creditor)

 (address of creditor)

SECTION 7- PAST-DUE AMOUNTS OWED TO GOVERNMENT

List the name and address of each governmental body to which you are legally obligated to pay a past-due amount and a description of the nature of the amount of the obligation.

a) _____ (name of governmental body) _____ (address of governmental body)
 _____ (amount owed) _____ (nature of the obligation)

b) _____ (name of governmental body) _____ (address of governmental body)
 _____ (amount owed) _____ (nature of the obligation)

SECTION 8- GUARANTOR OR CO-MAKER

List each guarantor or co-maker who has guaranteed a debt of yours that is still outstanding. (This includes debt guarantors arising or extended and refinanced after Jan. 1, 1989. Members of your family who are your guarantors are not required to be disclosed.)

a) _____
 _____ (name)
 _____ (address)

b) _____
 _____ (name)
 _____ (address)

SECTION 9- GIFTS

List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 received by you or your spouse and of each gift of more than \$250 received by your dependent children. The term "gift" is defined as "any payment, entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given therefor." There are a number of exceptions to the definition of "gift." Those exceptions are set forth in the Instructions for Statement of Financial Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public servant reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within ten (10) days from the date the item was received.)

a) _____
 _____ (description of gift)
 _____ (date) _____ (fair market value)
 _____ (source of gift)

b) _____
 _____ (description of gift)
 _____ (date) _____ (fair market value)
 _____ (source of gift)

c) _____
 _____ (description of gift)
 _____ (date) _____ (fair market value)
 _____ (source of gift)

d) _____
 _____ (description of gift)
 _____ (date) _____ (fair market value)
 _____ (source of gift)

e) _____
 _____ (description of gift)
 _____ (date) _____ (fair market value)
 _____ (source of gift)

SECTION 10- AWARDS

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive life-long learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

a) _____
_____ (description of award)
_____ (date) _____ (fair market value)
_____ (source of award)

b) _____
_____ (description of award)
_____ (date) _____ (fair market value)
_____ (source of award)

c) _____
_____ (description of award)
_____ (date) _____ (fair market value)
_____ (source of award)

d) _____
_____ (description of award)
_____ (date) _____ (fair market value)
_____ (source of award)

SECTION 11- NONGOVERNMENTAL SOURCES OF PAYMENT

List each nongovernmental source of payment of your expenses for food, lodging, or travel which bears a relationship to your office when you appear in your official capacity when the expenses incurred exceed \$150.

a) _____
_____ (name of person or organization paying expense)
_____ (business address)
_____ (date of expense) \$ _____ (amount of expense)
_____ (nature of expenditure)

b) _____
_____ (name of person or organization paying expense)
_____ (business address)
_____ (date of expense) \$ _____ (amount of expense)
_____ (nature of expenditure)

SECTION 14- SIGNATURE

I certify under penalty of false swearing that the above information is true and correct.

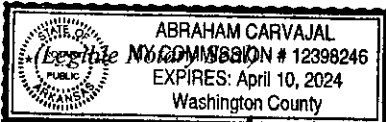
Vate B Schaffer

Signature

STATE OF ARKANSAS

COUNTY OF Benton } ss

Subscribed and sworn before me this 31st day of January, 2024.



Abraham Carvajal

Notary Public

My commission expires: April 10, 2024

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

IMPORTANT

Where to file:

- State or district candidates/public servants file with the Secretary of State.
- Appointees to state boards/commissions file with the Secretary of State.
- County, township, and school district candidates/public servants file with the county clerk.
- Municipal candidates/public servants file with the city clerk or recorder, as the case may be.
- City attorneys file with the city clerk of the municipality in which they serve.
- District judges file with the Secretary of State.
- Members of regional boards or commissions file with the county clerk of the county in which they reside.

General Information:

- * The Statement of Financial Interest should be filed by January 31 of each year.
- * The filing covers the previous calendar year.
- * Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31. In addition, if the party filing period ends before January 1 of the year of the general election, candidates for elective office shall file a Statement of Financial Interest for the previous calendar year by no later than January 31 of the year of the general election.
- * Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- * Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- * If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.

Ark. Code Ann. § 21-8-403 provides that, upon conviction, any person who violates any provision of subchapter 4, 6, 7, or 8 of chapter 8, Title 21 of the Arkansas Code is guilty of a Class A misdemeanor. The culpable mental state required shall be a purposeful violation.