

STATEMENT OF FINANCIAL INTEREST

State/District officials file with:
 John Thurston, Secretary of State
 500 Woodlane Street
 Little Rock, AR 72201
 Phone (501) 682-5070
 Fax (501) 682-3548

Calendar year covered 2023
 (Note: Filing covers the previous calendar year)

For assistance in completing
 this form contact:
 Arkansas Ethics Commission
 Phone (501) 324-9600
 Toll Free (800) 422-7773

Is this an amendment? Yes No

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document. Do not file this form with the Arkansas Ethics Commission.

SECTION 1- NAME AND ADDRESS

Name <u>HASTEN</u>	Name <u>VERNON</u>	Name <u>NICHOLAS</u>
(Last)	(First)	(Middle)
Address <u>2315 SNOW LANE</u>	Address <u>ALEXANDER AR</u>	Address <u>72002</u>
(Street or P.O. Box Number)	(City)	(State)
Phone <u>(319) 671-1809</u>		(Zip Code)
Spouse's name <u>HASTEN</u>	Spouse's name <u>TRACY</u>	Spouse's name <u>MARIE</u>
(Last)	(First)	(Middle)
All names under which you and/or your spouse do business: <u>BUDDY HASTEN</u>		

SECTION 2- REASON FOR FILING

- Public Official _____
(office held)
- Candidate _____
(office sought)
- District Judge _____
(name of district)
- City Attorney _____
(name of city)
- State Government: Agency Head/Department Director/Division Director _____
(name of agency/department/division)
- Chief of Staff or Chief Deputy _____
(name of Constitutional Officer, Senate, or House of Representatives)
- Public appointee to State Board or Commission SCIENCE and TECHNOLOGY of AEDC
(name of board/commission)
- School Board member _____
(name of school district)
- Candidate for school board _____
(name of school district)
- Public or Charter School Superintendent _____
(name of school district/school)
- Executive Director of Education Service Cooperative _____
(name of cooperative)
- Advertising and Promotion Commission member _____
(name of advertising and promotion commission)
- Research Park Authority Board member under A.C.A. § 14-144-201 et seq. _____
(name of research park authority board)

FILED
 AUG 05 2024
 Arkansas
 Secretary of State

Ark. Code Ann. § 21-8-403 provides that, upon conviction, any person who violates any provision of subchapter 4, 6, 7, or 8 of chapter 8, Title 21 of the Arkansas Code is guilty of a Class A misdemeanor. The culpable mental state required shall be a purposeful violation.

The **organization** is the source of payment. It should be the name of the sponsor actually paying or providing the expenses. The **date of expenses** should be the inclusive dates of all travel provided. If the travel all occurred on one day, report that day. Otherwise, list the starting and ending dates of each trip provided (i.e., "May 1 - 5, 1997").

It is permissible to extend the duration of a trip at your own expense, accepting return travel from the sponsor. However, to avoid suggesting that travel was accepted for a longer period of time than was actually the case, you should indicate any time not spent at the sponsor's expense on either the line requesting the "date" or "nature" of expenses. For example, using the dates listed above, you could report "May 1 - 5, 1997. May 3 - 4 on personal business, expenses paid by me."

Section 12 (Direct Regulation of Business)

The law requires you to list any business by whom you are employed if the business is under direct regulation or subject to direct control by the governmental body which you serve. You must report the employment by listing the name of this business/employer and provide the governmental body which regulates or controls aspects of the business. Such a business relationship typically exists if your private employer is subject to any rules or regulations of a governmental body or if a governmental body adjudicates contested cases of fact involving your private employer. For example, if you work as a licensed dentist, the appropriate regulatory governing body may be the State Board of Dental Examiners.

Whether your business is under direct regulation or subject to direct control by a governing body is often a question of fact. If you are unsure, you should contact the Arkansas Ethics Commission or, if you know, the agency you suspect may regulate part or all of your activities.

Section 13 (Sales to Governmental Body)

The law requires you to report certain business relationships with the government if a significant sale of goods or services occurs. Specifically, you must set out in detail the goods or services sold having a total annual value in excess of \$1,000.00 sold to the governmental body for which you serve or are employed and the compensation paid for each category of goods or services by you or any business in which you or your spouse is an officer, director, stockholder owning more than ten percent (10%) of the stock, owner, trustee, or partner.

Section 14 (Signature)

Under the law, each person, required to file a Statement of Financial Interest must prepare the statement under penalty of false swearing and sign such form attesting to the truth and accuracy of the information set forth on the form. Ark. Code Ann. § 21-8-702. If a person who is required to file a Statement of Financial Interest is called to active duty in the armed forces of the United States, the statement may be completed by the spouse of the person. If the Statement of Financial Interest is completed by the spouse, under this exception, the spouse's signature shall be sufficient for the requirement of Ark. Code Ann. § 21-8-702.

SECTION 2- REASON FOR FILING (continued)

- Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission):
 - Planning board or commission _____
 - Airport board or commission _____
 - Water or Sewer board or commission _____
 - Utility board or commission _____
 - Civil Service commission _____

SECTION 3- SOURCE OF INCOME

List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or your spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct.

a) Check appropriate box: More than \$1,000 More than \$12,500
ARKANSAS ELECTRIC COOPERATIVE CORPORATION
(name of employer or source of income)
1 COOPERATIVE WAY LITTLE ROCK, AR 72219
(address)
VERNON HASTEN
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received CEO - EXECUTIVE LEADERSHIP

b) Check appropriate box: More than \$1,000 More than \$12,500
United STATES NAVY (DFAS)
(name of employer or source of income)
8899 E 56TH STREET INDIANAPOLIS IN 46249-1200
(address)
VERNON HASTEN
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received NAVY RETIREMENT

c) Check appropriate box: More than \$1,000 More than \$12,500
ERMCO
(name of employer or source of income)
2225 INDUSTRIAL RD DYERSBURG TN 38024
(address)
VERNON HASTEN
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received CONSULTING

SECTION 4- BUSINESS OR HOLDINGS

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box: More than \$1,000 More than \$12,500
EDWARD JONES (GUIDED SOLUTIONS FUND, GUIDED SOLUTIONS FLEX)
(name of corporation, firm or enterprise)
10801 EXECUTIVE CENTER DRIVE LITTLE ROCK AR 72211
(address)
VERNON & TRACY HASTEN
(name under which investment held)

b) Check appropriate box: More than \$1,000 More than \$12,500
EDWARD JONES (JOINT SELECT - ALLIANT ENERGY STOCK)
(name of corporation, firm or enterprise)
10801 EXECUTIVE CENTER DRIVE LITTLE ROCK AR 72211
(address)
VERNON
(name under which investment held)

c) Check appropriate box: More than \$1,000 More than \$12,500
THRIVENT (FLEXIBLE PREMIUM DEFERRED ANNUITY)
(name of corporation, firm or enterprise)
11400 EXECUTIVE CENTER DRIVE LITTLE ROCK AR 72211
(address)

(name under which investment held)

d) Check appropriate box: More than \$1,000 More than \$12,500
FIRST COMMUNITY BANK
(name of corporation, firm or enterprise)
17820 CHANDLER PARKWAY LITTLE ROCK AR 72223
(address)
VERNON & TRACY HASTEN
(name under which investment held)

e) Check appropriate box: More than \$1,000 More than \$12,500
USAA FEDERAL SAVINGS BANK
(name of corporation, firm or enterprise)
9800 FREDERICKSBURG ROAD SAN ANTONIO TX 78288
(address)
VERNON & TRACY HASTEN
(name under which investment held)

f) Check appropriate box: More than \$1,000 More than \$12,500
HOMESTEAD FUNDS (2040 FUND)
(name of corporation, firm or enterprise)
4301 WILSON BLVD INV8-305 ARLINGTON, VA 22203
(address)
ARKANSAS ELECTRIC - VERNON HASTEN
(name under which investment held)

g) NRECA 401 K (1/2 2030 FUND, 1/2 2040 FUND) MORE THAN \$12,500
4301 WILSON BLVD SUITE 803 ARLINGTON VA 22203
VERNON HASTEN

SECTION 5- OFFICE OR DIRECTORSHIP

List every office or directorship held by you or your spouse in any business, corporation, firm, or enterprise subject to jurisdiction of a regulatory agency of this State, or of any of its political subdivisions.

a) ERMCO
(name of business, corporation, firm, or enterprise)
2025 INDUSTRIAL Road DYERSBURG TN 38024
(address)
Board Member
(office or directorship held)
VERNON HASTEN
(name of office holder)

b) Today's Power
(name of business, corporation, firm, or enterprise)
7300 Industry DR NORTH LITTLE ROCK AR 72117
(address)
Board member
(office or directorship held)
VERNON HASTEN
(name of office holder)

SECTION 6- CREDITORS

List each creditor to whom the value of five thousand dollars (\$5,000) or more was personally owed or personally obligated and is still outstanding. (This does not include debts owed to members of your family or loans made in the ordinary course of business by either a financial institution or a person who regularly and customarily extends credit.)

a) USAA Federal Savings Bank
(name of creditor)
9800 FREDERICKSBURG ROAD SAN ANTONIO TX 78288
(address of creditor)

b) PHH mortgage Services
(name of creditor)
P.O. Box 66002 Lawrenceville NJ 08648
(address of creditor)

c) _____
(name of creditor)

(address of creditor)

SECTION 7- PAST-DUE AMOUNTS OWED TO GOVERNMENT N/A (NONE)

List the name and address of each governmental body to which you are legally obligated to pay a past-due amount and a description of the nature of the amount of the obligation.

a) _____
(name of governmental body) (address of governmental body)

(amount owed) (nature of the obligation)

b) _____
(name of governmental body) (address of governmental body)

(amount owed) (nature of the obligation)

SECTION 8- GUARANTOR OR CO-MAKER

List each guarantor or co-maker who has guaranteed a debt of yours that is still outstanding. (This includes debt guarantors arising or extended and refinanced after Jan. 1, 1989. Members of your family who are your guarantors are not required to be disclosed.)

a) _____ (name)
_____ (address)
N/A (None)

b) _____ (name)
_____ (address)

SECTION 9- GIFTS

List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 received by you or your spouse and of each gift of more than \$250 received by your dependent children: The term "gift" is defined as "any payment, entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given therefor." There are a number of exceptions to the definition of "gift." Those exceptions are set forth in the Instructions for Statement of Financial Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public servant reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within ten (10) days from the date the item was received.)

a) YETI SOFT COOLER (description of gift)
7/12/23 (date) \$200 (fair market value)
CFC (Cooperative Finance Corporation) (source of gift)

b) FIELD JACKET (description of gift)
12/13/23 (date) \$160 (fair market value)
STAN JONES HUNTING LODGE (source of gift)

c) _____ (description of gift)
_____ (date) _____ (fair market value)
_____ (source of gift)

d) _____ (description of gift)
_____ (date) _____ (fair market value)
_____ (source of gift)

e) _____ (description of gift)
_____ (date) _____ (fair market value)
_____ (source of gift)

SECTION 10- AWARDS

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive life-long learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

a) _____
_____ (date) N/A (description of award) _____
_____ (fair market value)

_____ (source of award)

b) _____
_____ (date) _____ (description of award) _____
_____ (fair market value)

_____ (source of award)

c) _____
_____ (date) _____ (description of award) _____
_____ (fair market value)

_____ (source of award)

d) _____
_____ (date) _____ (description of award) _____
_____ (fair market value)

_____ (source of award)

SECTION 11- NONGOVERNMENTAL SOURCES OF PAYMENT

N/A (None)

List each nongovernmental source of payment of your expenses for food, lodging, or travel which bears a relationship to your office when you appear in your official capacity when the expenses incurred exceed \$150.

a) _____
_____ (name of person or organization paying expense)
_____ (business address)
_____ (date of expense) _____ \$ _____ (amount of expense)
_____ (nature of expenditure)

b) _____
_____ (name of person or organization paying expense)
_____ (business address)
_____ (date of expense) _____ \$ _____ (amount of expense)
_____ (nature of expenditure)

SECTION 12- DIRECT REGULATION OF BUSINESS

None

List any business which employs you and is under direct regulation or subject to direct control by the governmental body which you serve.

a) _____
(name of business)

(governmental body which regulates or controls)

b) _____
(name of business)

(governmental body which regulates or controls)

c) _____
(name of business)

(governmental body which regulates or controls)

d) _____
(name of business)

(governmental body which regulates or controls)

SECTION 13- SALES TO GOVERNMENTAL BODY

None

List the goods or services sold to the governmental body for which you serve which have a total annual value in excess of \$1,000. List the compensation paid for each category of goods or services sold by you or any business in which you or your spouse is an officer, director, or stockholder owning more than 10% of the stock of the company.

a) _____
(goods or services)

(governmental body to whom sold)

(compensation paid)

b) _____
(goods or services)

(governmental body to whom sold)

(compensation paid)

c) _____
(goods or services)

(governmental body to whom sold)

(compensation paid)

d) _____
(goods or services)

(governmental body to whom sold)

(compensation paid)

SECTION 14- SIGNATURE

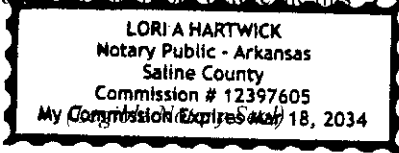
I certify under penalty of false swearing that the above information is true and correct.

[Handwritten Signature]
Signature

STATE OF ARKANSAS

COUNTY OF Pulaski } ss

Subscribed and sworn before me this 18th day of July, 2024.



Lori A. Hartwick
Notary Public

My commission expires: March 18, 2034

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

IMPORTANT

Where to file:

- State or district candidates/public servants file with the Secretary of State.
- Appointees to state boards/commissions file with the Secretary of State.
- County, township, and school district candidates/public servants file with the county clerk.
- Municipal candidates/public servants file with the city clerk or recorder, as the case may be.
- City attorneys file with the city clerk of the municipality in which they serve.
- District judges file with the Secretary of State.
- Members of regional boards or commissions file with the county clerk of the county in which they reside.

General Information:

- * The Statement of Financial Interest should be filed by January 31 of each year.
- * The filing covers the previous calendar year.
- * Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31. In addition, if the party filing period ends before January 1 of the year of the general election, candidates for elective office shall file a Statement of Financial Interest for the previous calendar year by no later than January 31 of the year of the general election.
- * Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- * Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- * If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.

