

# STATEMENT OF FINANCIAL INTEREST

State/District officials file with:  
John Thurston, Secretary of State  
500 Woodlane Street  
Little Rock, AR 72201  
Phone (501) 682-5070  
Fax (501) 682-3548

Calendar year covered 2023  
(Note: Filing covers the previous calendar year)

For assistance in completing  
this form contact:  
Arkansas Ethics Commission  
Phone (501) 324-9600  
Toll Free (800) 422-7773

Is this an amendment?  Yes  No

Please provide complete information. If the information requested in a particular section does not apply to you, indicate such by noting "Not Applicable" in that section. Do not leave any part of this form blank. If additional space is needed, you may attach the information to this document. Do not file this form with the Arkansas Ethics Commission.

## SECTION 1- NAME AND ADDRESS

Name Bronni, Nicholas Jacob  
(Last) (First) (Middle)  
Address 625 Marshall Street, Suite 220, Little Rock, Arkansas 72202  
(Street or P.O. Box Number) (City) (State) (Zip Code)  
Phone 501-682-8867  
Spouse's name Bronni, Sarah Tumlin  
(Last) (First) (Middle)  
All names under which you and/or your spouse do business: \_\_\_\_\_

## SECTION 2- REASON FOR FILING

**FILED**

- Public Official \_\_\_\_\_ (office held) **JAN 14 2025**
- Candidate \_\_\_\_\_ (office sought) **Arkansas Secretary of State**
- District Judge \_\_\_\_\_ (name of district)
- City Attorney \_\_\_\_\_ (name of city)
- State Government: Agency Head/Department Director/Division Director Solicitor General, Attorney General's Office  
(name of agency/department/division)
- Chief of Staff or Chief Deputy \_\_\_\_\_ (name of Constitutional Officer, Senate, or House of Representatives)
- Public appointee to State Board or Commission \_\_\_\_\_ (name of board/commission)
- School Board member \_\_\_\_\_ (name of school district)
- Candidate for school board \_\_\_\_\_ (name of school district)
- Public or Charter School Superintendent \_\_\_\_\_ (name of school district/school)
- Executive Director of Education Service Cooperative \_\_\_\_\_ (name of cooperative)
- Advertising and Promotion Commission member \_\_\_\_\_ (name of advertising and promotion commission)
- Research Park Authority Board member under A.C.A. § 14-144-201 et seq. \_\_\_\_\_ (name of research park authority board)

**SECTION 2- REASON FOR FILING (continued)**

- Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission):
- Planning board or commission \_\_\_\_\_
  - Airport board or commission \_\_\_\_\_
  - Water or Sewer board or commission \_\_\_\_\_
  - Utility board or commission \_\_\_\_\_
  - Civil Service commission \_\_\_\_\_

**SECTION 3- SOURCE OF INCOME**

List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or you spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct.

a) Check appropriate box:       More than \$1,000                       More than \$12,500

Arkansas Attorney General's Office  
\_\_\_\_\_  
(name of employer or source of income)

323 Center Street, Suite 200, Little Rock, Arkansas 72201  
\_\_\_\_\_  
(address)

Nicholas J. Bronni  
\_\_\_\_\_  
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Litigate cases on behalf of the State of Arkansas

b) Check appropriate box:       More than \$1,000                       More than \$12,500

Ernst and Young LLP  
\_\_\_\_\_  
(name of employer or source of income)

1775 Tysons Blvd., McLean, VA 22102  
\_\_\_\_\_  
(address)

Sarah Tumlin Bronni  
\_\_\_\_\_  
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Wife's employment as an accountant.

c) Check appropriate box:       More than \$1,000                       More than \$12,500

American Express National Bank  
\_\_\_\_\_  
(name of employer or source of income)

P.O. Box 30384, Salt Lake City, Utah 84130-0384  
\_\_\_\_\_  
(address)

Nicholas J. Bronni  
\_\_\_\_\_  
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Interest income from FDIC insured account.

**SECTION 2- REASON FOR FILING (continued)**

- Appointee to one of the following municipal, county or regional boards or commissions (list name of board or commission):
- Planning board or commission \_\_\_\_\_
  - Airport board or commission \_\_\_\_\_
  - Water or Sewer board or commission \_\_\_\_\_
  - Utility board or commission \_\_\_\_\_
  - Civil Service commission \_\_\_\_\_

**SECTION 3- SOURCE OF INCOME**

List each employer and/or each other source of income from which you, your spouse, or any other person for the use or benefit of you or your spouse receives gross income amounting to more than \$1,000. (You are not required to disclose the individual items of income that constitute a portion of the gross income of the business or profession from which you or you spouse derives income. For example: accountants, attorneys, farmers, contractors, etc. do not have to list their individual clients.) If you receive gross income exceeding \$1,000 from at least one source, the answer N/A is not correct.

a) Check appropriate box:       More than \$1,000                       More than \$12,500

Goldman Sachs Bank USA  
\_\_\_\_\_  
(name of employer or source of income)

P.O. Box 70379, Philadelphia, Pennsylvania 19176-0379  
\_\_\_\_\_  
(address)

Nicholas Bronni  
\_\_\_\_\_  
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Interest income from FDIC insured account.

\_\_\_\_\_

b) Check appropriate box:       More than \$1,000                       More than \$12,500

Bank OZK (Certified Deposit Accounts)  
\_\_\_\_\_  
(name of employer or source of income)

P.O. BOX 196, Ozark, Arkansas 72949  
\_\_\_\_\_  
(address)

Nicholas Bronni, Sarah Bronni  
\_\_\_\_\_  
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received Interest income from multiple FDIC insured deposit accounts.

\_\_\_\_\_

c) Check appropriate box:       More than \$1,000                       More than \$12,500

\_\_\_\_\_  
(name of employer or source of income)

\_\_\_\_\_  
(address)

\_\_\_\_\_  
(name under which income received)

Provide a brief description of the nature of the services for which the compensation was received \_\_\_\_\_

\_\_\_\_\_

**SECTION 4- BUSINESS OR HOLDINGS**

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box:  More than \$1,000  More than \$12,500  
Congressional Federal Credit Union (Bank Account)  
\_\_\_\_\_  
(name of corporation, firm or enterprise)  
P.O. Box 23267, Washington, DC 20026-3267  
\_\_\_\_\_  
(address)  
Nicholas and Sarah Bronni  
\_\_\_\_\_  
(name under which investment held)

b) Check appropriate box:  More than \$1,000  More than \$12,500  
American Express National Bank (Bank Account)  
\_\_\_\_\_  
(name of corporation, firm or enterprise)  
P.O. Box 30384, Salt Lake City, Utah 84130-0384  
\_\_\_\_\_  
(address)  
Nicholas Bronni  
\_\_\_\_\_  
(name under which investment held)

c) Check appropriate box:  More than \$1,000  More than \$12,500  
Goldman Sachs Bank USA (Bank Account)  
\_\_\_\_\_  
(name of corporation, firm or enterprise)  
P.O. Box 70379, Philadelphia, Pennsylvania 19176-0379  
\_\_\_\_\_  
(address)  
Nicholas Bronni  
\_\_\_\_\_  
(name under which investment held)

d) Check appropriate box:  More than \$1,000  More than \$12,500  
Bank OZK (Certified Deposit Accounts; Bank Account)  
\_\_\_\_\_  
(name of corporation, firm or enterprise)  
P.O. BOX 196, Ozark, Arkansas 72949  
\_\_\_\_\_  
(address)  
Sarah Bronni; Nicholas Bronni  
\_\_\_\_\_  
(name under which investment held)

e) Check appropriate box:  More than \$1,000  More than \$12,500  
Arkansas Public Employees' Retirement System Pension Plan (Pension Plan)  
\_\_\_\_\_  
(name of corporation, firm or enterprise)  
124 West Capitol Avenue, Suite 400, Little Rock, AR 72201  
\_\_\_\_\_  
(address)  
Nicholas Jacob Bronni  
\_\_\_\_\_  
(name under which investment held)

f) Check appropriate box:  More than \$1,000  More than \$12,500  
Ernst & Young LLP Pension Plan (Pension; Deferred Compensation Plan)  
\_\_\_\_\_  
(name of corporation, firm or enterprise)  
1775 Tysons Blvd., McLean, VA 22102  
\_\_\_\_\_  
(address)  
Sarah Tumlin Bronni  
\_\_\_\_\_  
(name under which investment held)

Ark. Code Ann. § 21-8-403 provides that, upon conviction, any person who violates any provision of subchapter 4, 6, 7, or 8 of chapter 8, Title 21 of the Arkansas Code is guilty of a Class A misdemeanor. The culpable mental state required shall be a purposeful violation.  
Revised 12/2017

**SECTION 4- BUSINESS OR HOLDINGS**

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box:  More than \$1,000  More than \$12,500  
Thrift Savings Plan (US Government 401K) (L 2050 and G Fund)

---

(name of corporation, firm or enterprise)

---

ThriftLine Service Center, c/o Broadridge Processing, PO Box 1600, Newark, NJ 07101-1600

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(address)

---

Nicholas Bronni

---

(name under which investment held)

b) Check appropriate box:  More than \$1,000  More than \$12,500  
Vanguard Total Bond Index

---

(name of corporation, firm or enterprise)

---

The Vanguard Group, P.O. Box 982901, El Paso, TX 79998-2901

---

(address)

---

Nicholas Bronni

---

(name under which investment held)

c) Check appropriate box:  More than \$1,000  More than \$12,500  
Vanguard Institutional Index

---

(name of corporation, firm or enterprise)

---

The Vanguard Group, P.O. Box 982901, El Paso, TX 79998-2901

---

(address)

---

Nicholas Bronni

---

(name under which investment held)

d) Check appropriate box:  More than \$1,000  More than \$12,500  
Vanguard FTSE All-World ex US

---

(name of corporation, firm or enterprise)

---

The Vanguard Group, P.O. Box 982901, El Paso, TX 79998-2901

---

(address)

---

Nicholas Bronni

---

(name under which investment held)

e) Check appropriate box:  More than \$1,000  More than \$12,500  
Arkansas Diamond Deferred Compensation Plan

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(name of corporation, firm or enterprise)

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Arkansas Diamond Deferred Compensation Plan, Little Rock , 400 W. Capitol, Suite 1611, Little Rock, AR 72201

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(address)

---

Nicholas Bronni

---

(name under which investment held)

f) Check appropriate box:  More than \$1,000  More than \$12,500  
Fidelity Investments (401K, Diversified Mutual Funds)

---

(name of corporation, firm or enterprise)

---

PO Box 770001, Cincinnati, OH 45277-0037

---

(address)

---

Sarah Tumlin Bronni

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(name under which investment held)

**SECTION 4- BUSINESS OR HOLDINGS**

List the name of every business in which you, your spouse or any other person for the use or benefit of you or your spouse have an investment or holding. Individual stock holdings should be disclosed. Figures should be based on fair market value at the end of the reporting period.

a) Check appropriate box:  More than \$1,000  More than \$12,500  
Dodge & Cox Fund Class Stock X  
\_\_\_\_\_  
(name of corporation, firm or enterprise)  
P.O. Box 219502, Kansas City, MO 64121-9502  
\_\_\_\_\_  
(address)  
Sarah Tumlin Bronni  
\_\_\_\_\_  
(name under which investment held)

b) Check appropriate box:  More than \$1,000  More than \$12,500  
American Funds EuroPacific Growth Fund Class R-6  
\_\_\_\_\_  
(name of corporation, firm or enterprise)  
333 South Hope Street, Los Angeles, California 90071  
\_\_\_\_\_  
(address)  
Sarah Tumlin Bronni  
\_\_\_\_\_  
(name under which investment held)

c) Check appropriate box:  More than \$1,000  More than \$12,500  
Capitol One 380 (FDIC Insured Bank Account) (Less than \$1,000)  
\_\_\_\_\_  
(name of corporation, firm or enterprise)  
1680 Capital One Dr, Mc Lean, VA 22102  
\_\_\_\_\_  
(address)  
Nicholas Bronni  
\_\_\_\_\_  
(name under which investment held)

d) Check appropriate box:  More than \$1,000  More than \$12,500  
\_\_\_\_\_  
(name of corporation, firm or enterprise)  
\_\_\_\_\_  
(address)  
\_\_\_\_\_  
(name under which investment held)

e) Check appropriate box:  More than \$1,000  More than \$12,500  
\_\_\_\_\_  
(name of corporation, firm or enterprise)  
\_\_\_\_\_  
(address)  
\_\_\_\_\_  
(name under which investment held)

f) Check appropriate box:  More than \$1,000  More than \$12,500  
\_\_\_\_\_  
(name of corporation, firm or enterprise)  
\_\_\_\_\_  
(address)  
\_\_\_\_\_  
(name under which investment held)



**SECTION 8- GUARANTOR OR CO-MAKER**

List each guarantor or co-maker who has guaranteed a debt of yours that is still outstanding. (This includes debt guarantors arising or extended and refinanced after Jan. 1, 1989. Members of your family who are your guarantors are not required to be disclosed.)

a) Not Applicable

\_\_\_\_\_ (name)

\_\_\_\_\_ (address)

b) \_\_\_\_\_ (name)

\_\_\_\_\_ (address)

**SECTION 9- GIFTS**

List the source, date, description, and a reasonable estimate of the fair market value of each gift of more than \$100 received by you or your spouse and of each gift of more than \$250 received by your dependent children. The term "gift" is defined as "any payment, entertainment, advance, services, or anything of value unless consideration of equal or greater value has been given therefor." There are a number of exceptions to the definition of "gift." Those exceptions are set forth in the Instructions for Statement of Financial Interest prepared for use with this form. (Note: The value of an item shall be considered to be less than \$100 if the public servant reimburses the person from whom the item was received any amount over \$100 and the reimbursement occurs within ten (10) days from the date the item was received.)

a) Not Applicable

\_\_\_\_\_ (description of gift)

\_\_\_\_\_ (date) \_\_\_\_\_ (fair market value)

\_\_\_\_\_ (source of gift)

b) \_\_\_\_\_ (description of gift)

\_\_\_\_\_ (date) \_\_\_\_\_ (fair market value)

\_\_\_\_\_ (source of gift)

c) \_\_\_\_\_ (description of gift)

\_\_\_\_\_ (date) \_\_\_\_\_ (fair market value)

\_\_\_\_\_ (source of gift)

d) \_\_\_\_\_ (description of gift)

\_\_\_\_\_ (date) \_\_\_\_\_ (fair market value)

\_\_\_\_\_ (source of gift)

e) \_\_\_\_\_ (description of gift)

\_\_\_\_\_ (date) \_\_\_\_\_ (fair market value)

\_\_\_\_\_ (source of gift)

**SECTION 10- AWARDS**

If you are an employee of a public school district, the Arkansas School for the Blind, the Arkansas School for the Deaf, the Arkansas School for Mathematics, Sciences, and the Arts, a university, a college, a technical college, a technical institute, a comprehensive life-long learning center, or a community college, the law requires you to disclose each monetary or other award over one hundred dollars (\$100) which you have received in recognition of your contributions to education. The information disclosed with respect to each such award should include the source, date, description, and a reasonable estimate of the fair market value.

a) Not Applicable

---

(description of award)

---

(date) (fair market value)

---

(source of award)

---

b) \_\_\_\_\_

---

(description of award)

---

(date) (fair market value)

---

(source of award)

---

c) \_\_\_\_\_

---

(description of award)

---

(date) (fair market value)

---

(source of award)

---

d) \_\_\_\_\_

---

(description of award)

---

(date) (fair market value)

---

(source of award)

**SECTION 11- NONGOVERNMENTAL SOURCES OF PAYMENT**

List each nongovernmental source of payment of your expenses for food, lodging, or travel which bears a relationship to your office when you appear in your official capacity when the expenses incurred exceed \$150.

a) Law and Policy Forum

---

3220 N Street, NW, Suite 268, Washington, DC 20037  
(name of person or organization paying expense)

---

(business address)

---

January 12-15, 2023 \$ 4,806.89

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(date of expense) (amount of expense)

---

Attend conference

---

(nature of expenditure)

---

b) Israeli-American Coalition

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6530 Winneka Avenue, Woodland Hills, CA 91367  
(name of person or organization paying expense)

---

(business address)

---

January 20-22, 2003 \$ 839.41

---

(date of expense) (amount of expense)

---

Speak at conference.

---

(nature of expenditure)

**SECTION 12- DIRECT REGULATION OF BUSINESS**

List any business which employs you and is under direct regulation or subject to direct control by the governmental body which you serve.

a) **Not Applicable**

(name of business)

(governmental body which regulates or controls)

b)

(name of business)

(governmental body which regulates or controls)

c)

(name of business)

(governmental body which regulates or controls)

d)

(name of business)

(governmental body which regulates or controls)

**SECTION 13- SALES TO GOVERNMENTAL BODY**

List the goods or services sold to the governmental body for which you serve which have a total annual value in excess of \$1,000. List the compensation paid for each category of goods or services sold by you or any business in which you or your spouse is an officer, director, or stockholder owning more than 10% of the stock of the company.

a) **Not Applicable**

(goods or services)

(governmental body to whom sold)

(compensation paid)

b)

(goods or services)

(governmental body to whom sold)

(compensation paid)

c)

(goods or services)

(governmental body to whom sold)

(compensation paid)

d)

(goods or services)

(governmental body to whom sold)

(compensation paid)

Speak at conference.

**SECTION 14- SIGNATURE**

I certify under penalty of false swearing that the above information is true and correct.

*Nichols J. Cur*  
Signature

STATE OF ARKANSAS

COUNTY OF Pulaski } ss

Subscribed and sworn before me this 14th day of JANUARY, 2025

**SHUMEKA L YOUNG**  
Notary Public-Arkansas  
Pulaski County  
My Commission Expires 05-05-2034  
Commission # 12398655

(Legible Notary Seal)

*Shumeka L Young*  
Notary Public

My commission expires: 05/05/2034

Note: If faxed, notary seal must be legible (i.e., either stamped or raised and inked) and the original must follow within ten (10) days pursuant to Ark. Code Ann. § 21-8-703(b)(3).

**IMPORTANT**

**Where to file:**

- State or district candidates/public servants file with the Secretary of State.
- Appointees to state boards/commissions file with the Secretary of State.
- County, township, and school district candidates/public servants file with the county clerk.
- Municipal candidates/public servants file with the city clerk or recorder, as the case may be.
- City attorneys file with the city clerk of the municipality in which they serve.
- District judges file with the Secretary of State.
- Members of regional boards or commissions file with the county clerk of the county in which they reside.

**General Information:**

- \* The Statement of Financial Interest should be filed by January 31 of each year.
- \* The filing covers the previous calendar year.
- \* Candidates for elective office shall file the Statement of Financial Interest for the previous calendar year on the first Monday following the close of the period to file as a candidate for elective office unless already filed by January 31. In addition, if the party filing period ends before January 1 of the year of the general election, candidates for elective office shall file a Statement of Financial Interest for the previous calendar year by no later than January 31 of the year of the general election.
- \* Agency heads, department directors, and division directors of state government shall file the Statement of Financial Interest within thirty (30) days of appointment or employment unless already filed by January 31.
- \* Appointees to state boards or commissions shall file the Statement of Financial Interest within thirty (30) days after appointment unless already filed by January 31.
- \* If a person is included in any category listed above for any part of a calendar year, that person shall file a Statement of Financial Interest covering that period of time regardless of whether they have left their office or position as of the date the statement is due.